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3 **Long term blood metal ion levels and clinical outcome after**

4 **Birmingham Hip Arthroplasty**

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6 **Short title: Long term results for Birmingham Hip Arthroplasty**

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10 Pietiläinen S ^{1,2}, Lindström M ², Laaksonen I ^{1,2}, Venäläinen MS ^{3,4}, Lankinen P ^{1,2}, Mäkelä K ^{1,2}

11

12 ¹ Turku University Hospital, Department of Orthopaedics and Traumatology, Luolavuorentie 2, 20701 Turku, Finland

13

14 ² University of Turku, Kiinamyyllynkatu 10, 20520 Turku, Finland

15

16 ³ University of Turku and Åbo Akademi University, Turku Bioscience Centre, Tykistökatu 6, 20520 Turku,

17 Finland

18 ⁴ Division of Medical Imaging, Department of Medical Physics, Turku University Hospital, Turku, Finland.

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20 Corresponding author:

21 Sakari Joonas Pietiläinen

22 Aninkaistenkatu 12 E121, 20100 Turku, Finland

23 Tel: +358 41 506 9884

24 Email: sakari.pietilainen@gmail.com

25

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27 All named authors hereby declare that they have no conflicts of interest to disclose.

28

29 **Abstract**

30

31 **Background & Aims**

32 Our aim was to assess long-term metal ion level changes and clinical outcome in patients with a
33 Birmingham Hip arthroplasty.

34 **Materials and methods**

35 BHR was the most used Hip Resurfacing Arthroplasty (HRA) in Turku University Hospital with
36 274 hips (233 patients). Additionally, there were 38 BHR-Synergy Total Hip Arthroplasties (THA)
37 (38 patients). Operations were performed between 2003 and 2010. Median follow up time was 14
38 years for BHR HRA (range: 0.6-17) and 11 years for BHR THA (range: 4.7-13). A random
39 coefficient model was used to compare the change between the first and last metal ion
40 measurement. A Kaplan-Meier estimator was used to assess the survivorship of the BHR HRA and
41 BHR THA with metal related adverse events (pseudotumor, elevated metal ions above the safe
42 upper limit, revision due to metallosis), or revision due to any reason as endpoints with 95%
43 confidence intervals (CI).

44 **Results**

45 In the BHR HRA group, geometric means of Cr and Co levels decreased from 2.1ppb to 1.6ppb and
46 2.4ppb to 1.5ppb respectively, during a 3.0 year measurement interval. Metal ion levels in the BHR
47 THA group did not show notable increase. The survivorship of BHR HRA was 66% in 16 years and
48 34% for BHR THA at 12 years for any metal related adverse event.

49 **Conclusion**

50 Patients with a Birmingham hip device do not seem to benefit from frequent repeated metal ion
51 measurements. The amount of patients with metal related adverse events was relatively high, but
52 many of them did not require surgery.

53

54 **Introduction**

55 The usage of metal on metal (MoM) hip implants has decreased substantially due to high revision
56 rates. Nevertheless, approximately 1.5 million MoM hip implants have been implanted worldwide
57 (1). Despite of the high revision rates associated with metal bearing, majority of these implants are
58 still *in situ* and concerns remain regarding the adverse reaction to metal debris (ARMD) and blood
59 metal ion levels in long term (2).

60 As for MoM total hip arthroplasties (THA), implant survival of most MoM hip resurfacing
61 arthroplasty (HRA) brands have been poor compared to conventional bearing surfaces (3). However,
62 the Birmingham Hip Resurfacing (BHR HRA, Smith & Nephew, London, United Kingdom) device
63 is still in scarce use especially in England and Australia (4, 5) due to satisfying outcome compared to
64 other HRA brands (6, 7). The 10-year overall survival rate for all HRA has been 86 % while BHR
65 HRA has 91% 10-year survival in Finland (8).

66 Regulatory authorities worldwide have recommended regular follow-up for MoM hip
67 arthroplasty patients to detect metal bearing related complications. Screening tools to detect ARMD
68 consist of blood metal ion level measurements, hip imaging and patient reported outcome measure
69 questionnaires. Soft tissue imaging (ultrasound, computed tomography (CT), Metal Artifact
70 Reduction Sequence (MARS) MRI) have good sensitivity in detecting ARMD, but they are often too
71 expensive and resource consuming to be used as a sole screening tool. Various safe upper limit (SUL)
72 blood metal ion levels have been suggested to detect the failing MoM implants (9–13). However,
73 recently SUL thresholds have been suggested to be implant specific (14, 15).

74 Our primary aim was to investigate if there is substantial change in the whole blood metal ion
75 levels in long term after BHR HRA or BHR THA. Further, we assessed clinical and imaging outcome
76 for these implants and risk factors for revision surgery to optimize the follow-up.

77

78

79 **Material and Methods**

80 We performed a retrospective cohort study to assess long term blood cobalt (Co) and chromium (Cr)
81 levels and clinical outcome in BHR HRA and BHR THA patients operated at our institution. BHR
82 HRA operations were performed from 2003 to 2010 and BHR THA operations between 2007 and
83 2009. BHR HRA consists of a trimmed femoral head, capped with a large-diameter modular BHR
84 head covering and a BHR monoblock acetabular cup. BHR THA consists of a large-diameter modular
85 BHR head, a large-diameter BHR monoblock acetabular cup and a Synergy femoral stem.

86 A routine screening program for MoM hips was used at our institution to detect patients with
87 ARMD. The screening was performed in consensus with the follow-up protocol recommended by the
88 Finnish Arthroplasty Society (12). The screening included anteroposterior and lateral radiographs of
89 the hip, WB Cr, and Co measurements and the Oxford Hip Score (OHS) (16). Furthermore, if patients
90 had poor or moderate OHS score (below 33 points), or elevated WB Cr or Co concentration (above
91 5ppb), they were referred to MARS-MRI. Patients with poor or moderate OHS or elevated WB ion
92 measurements were also clinically evaluated by a senior orthopaedic surgeon at our outpatient clinic.
93 If patients had severe hip symptoms (pain, clicking, swelling) or if a pseudotumor was detected in
94 MRI, revision surgery was considered. In addition, if an asymptomatic patient had WB metal ion
95 levels above 10ppb, revision surgery was considered to minimize the risk of Co poisoning. Patients
96 who were not admitted to revision surgery were scheduled for annual or biannual visits in our
97 outpatient clinic. Blood samples from all participating patients were collected and analyzed using the
98 same methods that we have described earlier in our previous publications (17, 18).

99 All data was obtained from the Turku University Hospital data lake and electronic medical
100 records.

101 In this study, SULs of 4.6 ppb for Cr and of 4.0 ppb for Co were used based on earlier study
102 by Van Der Straeten (13). The proportion of patients exceeding the SUL values of Cr and Co in the
103 repeated measurements were reported.

104 Standard anteroposterior and shoot through lateral radiographs were used to assess
105 anteversion and inclination angles of the cup. MARS-MRI images were evaluated by a
106 musculoskeletal radiologist experienced in ARMD related MRI diagnostics. Special attention was
107 given to soft tissue masses and periarticular fluid collections. Findings were graded using Hart
108 pseudotumor classification (19).

109 We used the Oxford Hip Score (OHS) -questionnaire to measure the functional outcomes of
110 patients with BHR HRA or BHR THA during the follow-up. OHS has a scale of 0 to 48, with 48
111 being the best patient reported outcome. A score below 26 was considered as a bad outcome, 27-33
112 points was considered to as a moderate outcome, 34-41 was considered as a good outcome and 42-
113 48 was considered as an excellent outcome. In addition, revision operations and reasons for revision
114 surgery were checked manually from the patient records.

115

116 *Patients*

117 BHR was the most common HRA device at our institution with 233 patients (274 hips). 41 patients
118 had bilateral operation. Additionally, we identified 38 patients who had a BHR-Synergy THA.
119 There were no patients with bilateral BHR THA. Median age of the patients was 53 years (IQR=10
120 years, range 18-76). 89 (33%) were female. The follow-up data from the patients was collected until
121 November 2019 or eventual death. The number of deceased patients during the follow-up was 23.
122 Median follow-up time for BHR HRA and BHR THA was 14 years (range 0.6-17) and 11 years
123 (range: 4.7-13), respectively. Patient characteristics are presented in Table 1. [insert Table 1.]

124

125 223 patients (193 BHR HRA and 30 BHR THA) with 1 or more metal ion measurements
126 during the follow-up were identified. 171 BHR HRA and 19 BHR THA patients had 2 or more metal
127 ion measurements (BHR HRA: median = 2 (range: 2-6), BHR THA: median = 3 (range: 2-5)). If a
128 patient had more than 2 consecutive metal ion measurements, the first and the last of the

129 measurements were used to assess change. The median time from the first metal ion measurement
130 (initial measurement) to the last (control measurement) was 3.0 years (range 0.8-6.8 years) and it was
131 considered as the measurement interval. The mean time from the index operation to the initial metal
132 ion measurement was 7.5 years (range 3.9-14). For staged bilateral patients this was calculated from
133 the date when the second hip was operated. The follow-up data was collected until 28.10.2019. 12
134 patients with BHR HRA did not have inclination or anteversion angle data. Further, 151 hips had
135 been imaged using MARS-MRI and 192 patients (175 BHR HRA and 17 had BHR THA) had
136 completed the OHS questionnaire postoperatively.

137

138 *Ethics*

139 The study was based on the national recommendation for systematic screening of MoM Hip
140 Arthroplasty patients given by the Finnish Arthroplasty Society (2014). It was a register study, and
141 the patients were not directly contacted. Therefore, approval by the local ethical committee was not
142 needed.

143

144 *Statistics*

145 The individual change between two consecutive metal ion measurements from the same patient was
146 modelled using a random coefficient model. Log-transformed ion values were used in conditional
147 models due to positively skewed distribution of ion levels. Results were reported as geometric means
148 and medians with range at the initial and control measurements for better interpretation. Spaghetti
149 plots for naturally log-transformed ion values were generated to visualize individual changes in ion
150 levels. A Kaplan-Meier estimator was used to analyze the overall survivorship function, with revision
151 surgery as the endpoint with 95% confidence interval (CI). A separate Kaplan-Meier analysis was
152 performed to assess the survivorship of the BHR HRA and BHR THA patients with metal related
153 adverse events (pseudotumor, elevated metal ions above the SUL, or revision due to ARMD) as

154 endpoints with 95% CI. Wilcoxon rank sum test was used to compare the OHS scores and ion levels
155 of patients with a radiologically diagnosed pseudotumor and patients without a radiologically
156 diagnosed pseudotumor.

157 Hazard ratios (HR) with 95% CI for metal related adverse events (pseudotumor, elevated
158 metal ions above the SUL, or revision due to ARMD) were assessed using multivariable Cox
159 regression analysis, adjusting for potential contributory factors age, sex, bilateral surgery,
160 inclination angle and anteversion angle. None of these variables were considered to be along causal
161 pathway from exposure to outcome but were considered as confounders. The proportional hazards
162 assumption for Cox analysis was evaluated with a statistical test based on scaled Schoenfeld
163 residuals (20).

164 P-values lower than 0.05 in a 2-tailed test were considered statistically significant in all analyses. All
165 statistical analyses were carried out using the R statistical computing environment version 3.5.3
166 R packages *survival* (version 3.2-10) and *ggplot2* (version 3.3.3) were used for survival analysis and
167 visualizations, respectively (21).

168

169 **Results**

170 Geometric mean of Co decreased from 2.1 ppb (range 0.2-122) to 1.6 ppb (range 0.1-100, $p < 0.001$)
171 and similarly the geometric mean of Cr decreased from 2.4 ppb (range 0.7-56) to 1.5 ppb (range 0.2-
172 63, $p < 0.001$) during the 3.0 years measurement interval in the BHR HRA group. Metal ion levels in
173 the BHR THA group did not show notable increase. Differences in metal ion levels and p-values are
174 demonstrated in Table 2. [insert Table 2.]

175 In the whole cohort, Co values were above the SUL in 55 patients (25%) in the first
176 measurement and above the SUL in 41 patients (22%) in the last measurement. In a similar manner,
177 Cr values were above the SUL in 32 patients (14%) in the first measurement and above the SUL in
178 21 patients (11%) in the last measurement. Overall, 26 patients had ion levels above 10 ppb during

179 follow-up and 12 of them eventually had a revision (10 patients had a revision due to ARMD).

180 Change of individual Co and Cr values are presented in Figure 1. [insert Figure 1.]

181 Out of the 151 hips with MARS-MRI imaging we identified 62 hips (41%) with
182 radiologically diagnosed pseudotumor. Of these, 24 were Hart 1, 10 Hart 2A, 23 Hart 2B, and 5 Hart
183 3. If patients had repeated MARS-MRI imaging, we reported the one with the highest grade
184 pseudotumor. 18 hips with a pseudotumor had more than one MARS-MRI done. In 8 hips the size
185 and grading of the pseudotumor remained similar. In 1 hip the pseudotumor was no longer visible in
186 the repeated MARS-MRI. In 3 hips pseudotumors had decreased in size in the repeated MARS-MRI.
187 On the other hand, in 5 hips the pseudotumor had increased in size in the repeated MRI, and in 1 of
188 these hips grade of the pseudotumor was higher in the repeated MARS-MRI. Additionally, 26 hips
189 had repeated MARS-MRI with normal initial MARS-MRI images. New pseudotumor was detected
190 in 5 hips, while the repeated MARS-MRI was normal in 21 hips. Patients with a radiologically
191 diagnosed pseudotumor presented with significantly higher Co ($p<0.001$) and Cr values ($p<0.001$)
192 than patients without a pseudotumor. Patients without a radiologically diagnosed pseudotumor had a
193 median Co of 1.8ppb (interquartile range [IQR]=2.4) and median Cr of 2.2ppb (IQR=1.8) while
194 patients with a radiologically diagnosed pseudotumor had median Co of 5.8ppb (IQR=10.5) and
195 median Cr of 4.2ppb (IQR=4.7).

196
197 *Implant survival with revision for any reason as the end point*

198 We had an overall implant survival of 83% in 16 years for BHR HRA and 87% for BHR THA
199 at 12 years with revision for any reason as the endpoint. 40 hips of 274 were revised in the BHR HRA
200 group and 5 of 38 hips were revised in the BHR THA group (Figure 2). ARMD was the most common
201 reasons for revision in both BHR HRA and BHR THA groups (10 (25%) and 3 hips (60%),
202 respectively). Other reasons for revision in BHR HRA group were: periprosthetic fracture (7 hips),
203 loosening of the cup (7 hips), loosening of the femoral component (5 hips), mechanical impingement

204 (4 hips), infection (2 hips), implant mal-alignment (2 hips), pain (1 hip), grossly elevated metal ions
205 (1 hip) and leg length discrepancy (1 hip). Other reasons for revision in BHR THA group were
206 infection and pain (1 hip each). [insert Figure 2.]

207

208 *Survival with any metal related adverse event (pseudotumor in MARS MRI, elevated metal ions above*
209 *the SUL, or revision due to ARMD) as the end point*

210 The overall survival of the hips in terms of metal related adverse events (pseudotumor,
211 elevated metal ions above the SUL, or revision due to ARMD) was 63% at 16 years. For BHR HRA
212 separately it was 66% in 16 years and for BHR THA it was 34% at 12 years from the operation
213 (Figure 3). The total number of metal related adverse events during our follow-up was 98. [insert
214 Figure 3.]

215

216 Overall, 175 out of 192 patients (91%) had good- to excellent OHS scores postoperatively. In
217 BHR HRA group 161 patients out of 175 reported a good- to excellent outcomes, while only 6 patients
218 (4.9%) reported having a bad outcome. In BHR THA group 13 patients (77%) out of 17 had an
219 excellent outcome and 3 patients (20%) reported a bad outcome. Patients without a radiologically
220 diagnosed pseudotumor (n=148) had a median OHS score of 46 (IQR=7, range 2-48), while patients
221 with a radiologically diagnosed pseudotumor (n=44) had a median OHS score of 44 (IQR=9, range
222 3-48). The difference between OHS scores was statistically significant (p=0.03).

223

224 In Cox multivariable regression analysis cup retroversion was associated with increased risk
225 of adverse events when compared to cups that were in anteversion with a HR of 3.9 and the difference
226 was statistically significant (p<0.0001). Cox multivariable regression analysis data with 95% CI is
227 presented in Table 3. [insert Table 3.]

228

229

230 **Discussion**

231 The aim of this study was to assess long term blood Co and Cr levels and clinical outcome for patients
232 with BHR HRA or BHR THA. WB Co and Cr levels in BHR patients stayed mostly below the SUL.
233 Further, we noted a statistically significant decrease in both Co and Cr levels during median follow-
234 up time of 14 years in BHR HRA group. Metal ion levels in BHR-THA group did not show notable
235 increase during a follow-up of 11 years. The amount of patients with metal related adverse events
236 was relatively high, but many of them did not require surgery.

237

238 Our results regarding decreasing ion level trends are in line with previous studies. Van der
239 Straeten et al. studied WB Co and Cr change in patients with well-functioning BHR implants. Overall
240 Co and Cr levels decreased significantly in their cohort at 10 to 13 years in asymptomatic patients
241 (22). Also, patients with unilateral or bilateral ReCap-M2A-Magnum MoM THA had decreasing ion
242 levels in long term follow-up. Authors discussed that these patients might not benefit from repeated
243 metal ion measurements on as short as a two year interval (17, 18). Even when the high-risk Articular
244 Surface Replacement (ASR) implants were assessed, Reito et al. reported that patients with a
245 unilateral ASR HRA might not benefit from repeated metal ion measurements on a one-year interval.
246 However, high risk ASR XL THA patients did benefit from repeated metal ion measurements in order
247 to detect patients with ARMD (9). National guidelines recommend regular WB metal ion
248 measurements in the follow up of patients treated with MoM implants. However, performing regular
249 metal ion measurements for all MoM hip patients is both expensive and resource consuming (12, 23).
250 Based on our study and earlier literature 2-year interval seems rather short for repeated ion
251 measurements in patients with BHR HRA or BHR THA device. For long term follow-up for example
252 5-year interval might be more appropriate.

253 MARS-MRI in our study was performed only to patients with poor or moderate OHS -scores,
254 symptomatic hip, or elevated WB Co or Cr ion levels. Thus, the reported high prevalence of
255 pseudotumor in MARS-MRI does not represent the whole cohort of patients. Ideally, we would have
256 had MARS-MRI images from all the patients with a BHR hip implant. **As expected, levels of both**
257 **Co and Cr were higher in patients with a radiologically diagnosed pseudotumor.** Only 3 out of
258 seventeen pseudotumors increased in size in repeated MRI. Relatively high prevalence of
259 pseudotumors in MARS-MRI of BHR patients have been reported previously but the data concerning
260 the subject is scarce (19). Bisschop et al reported a prevalence of 28% for pseudotumors in CT scans
261 of BHR HRA patients, and majority of these (72.5%) were asymptomatic (24).

262 Regarding to the OHS score, majority of the patients in our study reported good to excellent
263 scores after the BHR implantation. Comparably, Matharu and colleagues reported a total of 1394
264 OHS questionnaires with excellent outcomes, preoperative OHS score improving from preoperative
265 19 to 46 at the latest visit (25). **In our study, patients with a radiologically diagnosed pseudotumor**
266 **reported inferior OHS-scores when compared to patients without a radiologically diagnosed**
267 **pseudotumor, although the difference was not necessarily clinically significant.** Unfortunately, our
268 patients do not have pre-operative OHS values. Kwon et al. found out that asymptomatic MoM HRA
269 patients with a pseudotumor may have even lower OHS scores than patients without a pseudotumor
270 (41 and 47 points, respectively) (26). However, this correlation between symptoms and pseudotumor
271 incidence is not clear (27).

272 The survival of BHR HRA was 83% at 16 years and that of BHR THA 87% at 12 years in our
273 material. This is in line with Finnish Arthroplasty Register which reports a revision rate of 13 % for
274 BHR at 15 years (7). The Australian registry reports a slightly better survival with BHR HRA with
275 7% revision rate at 10 years and 10% at 15 years (28). In a similar manner, NJR reports a revision
276 rate of 8% at 10 years and 11% at 15 years for BHR HRA (6).

277 In the short- to mid-term follow up BHR HRA and BHR THA seemed to have equally good
278 survival rates with 95% and 97 % at 6 years, respectively (29). However, in the long term follow-up
279 BHR THAs revision rates increase to 18% at 10 years, which is higher than for majority of the other
280 MoM THA or HRA brands (7, 28). We did not notice this increased revision rate compared to BHR
281 HRA in the current study. The amount of BHR THA was rather small, though. Due to the previously
282 reported high risk of ARMD and revision surgery the implantation of BHR THA is no longer
283 recommended (30).

284 Sole revision rate might not tell the whole truth about adverse events or functional failure.
285 Therefore, we assessed separately survival with any metal related adverse event (pseudotumor in
286 MARS-MRI, elevated metal ions above the SUL, or revision due to ARMD) as the end point. It seems
287 that we had considerably metal related adverse events, although most of them did not require revision
288 surgery. This is especially true with the BHR THA.

289 Cup positioning has been reported to be a risk factor for increased wear and metal bearing
290 related complications. **Excessive anteversion, insufficient anteversion or increased cup inclination**
291 **increase the risk of posterior edge loading and impingement in MoM implants, which can lead to**
292 **excess wear** (31, 32). In our study only the retroversion of the acetabular cup was associated with an
293 increased risk for metal related complications, although bilateral surgery or cup inclination did not
294 have an effect. There is some evidence that pseudotumors do not have to necessarily be associated
295 with high wear or increased metal ion levels and they can occur in well positioned implants,
296 suggesting that patient susceptibility has an important role in the development of pseudotumors
297 (33).

298 We acknowledge that our study had several limitations. First, the measurement interval was
299 relatively short. Longer follow up might change the course. Another limitation was that some patients
300 with poor clinical outcome may have been revised before any metal ion measurements were done.
301 Further, all patients did not go through MARS-MRI or fill in OHS questionnaire which might have

302 skewed the results. **Our results are implant specific, and therefore not generalizable to other MoM**
303 **devices.** In the current study we used SUL values suggested by Van Der Straeten et al. (2013) for
304 unilateral HRA implants. We used this SUL value for both unilateral and bilateral BHR HRA and
305 unilateral BHR THA patients for better interpretability.

306

307 **Conclusion**

308 We found that WB metal ion levels decrease during the long-term follow-up in BHR patients. Patients
309 with a well-functioning BHR hip may not necessarily benefit from routine metal ion measurements
310 on a 2-year interval. The amount of patients with a metal related adverse events was relatively high,
311 although revision surgery was not always needed.

312

313

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403

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407

408

409 **Authors contributions**

410 KTM and MSV designed the protocol and methods. KTM performed the surgery, recorded the
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414

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416

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424 **Figure legends**