Serum glial fibrillary acidic protein correlates with multiple sclerosis disease severity

Högel H^{1,2}, Rissanen E^{1,2}, Barro C³, Matilainen M^{1,2}, Nylund M^{1,2}, Kuhle J³*, Airas L^{1,2}*

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Corresponding author:

Heidi Högel, PhD

Turku PET Centre, c/o Turku University Hospital

Kiinamyllynkatu 4–8, 20521 Turku

Finland

Tel. +358 2 231 8191

hhhoge@utu.fi

Eero Rissanen eerris@utu.fi

Christian Barro Christian.Barro@usb.ch

Markus Matilainen manmat@utu.fi

Marjo Nylund marjo.k.nylund@utu.fi
Jens Kuhle Jens.Kuhle@usb.ch
Laura Airas laura.airas@utu.fi

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¹ Turku PET Centre, Turku University Hospital and University of Turku, Turku, Finland

² Division of Clinical Neurosciences, Turku University Hospital and University of Turku, Turku, Finland

³ Neurologic Clinic and Policlinic, Departments of Medicine, Biomedicine and Clinical Research, University Hospital Basel, Basel, Switzerland

^{*} These authors contributed equally to the manuscript.

Abstract

- 2 **Background:** Cerebrospinal fluid (CSF) levels of two soluble biomarkers, glial
- 3 fibrillary acidic protein (GFAP) and neurofilament light chain (NfL) have been shown
- 4 to associate with multiple sclerosis (MS) disease progression. Now, both biomarkers
- 5 can be detected reliably in serum and importantly, their serum levels correlate well with
- 6 their CSF levels.
- 7 **Objective:** To evaluate the usability of serum GFAP measurement as a biomarker of
- 8 progressive disease and disease severity in MS.
- 9 **Methods:** Clinical course, EDSS, disease duration, patient age and MRI parameters
- were reviewed in 79 MS patients in this cross-sectional hospital-based study. Serum
- samples were collected for measurement of GFAP and NfL concentrations using single
- molecule array (Simoa) assay. A cohort of healthy controls was evaluated for
- 13 comparison.
- 14 **Results:** Higher serum concentrations of both GFAP and NfL were associated with
- 15 higher EDSS, older age, longer disease duration, progressive disease course and MRI
- 16 pathology.
- 17 **Conclusion:** Earlier studies have demonstrated that GFAP, unlike NFL, is not increased
- in association with acute focal inflammation-related nervous system damage. Our work
- suggests that GFAP serum level associates with disease progression in MS and could
- 20 potentially serve as an easily measurable biomarker of CNS pathology related to disease

1 progression in MS.

2 Glossary

- 3 **CNS** = central nervous system; **CSF** = cerebrospinal fluid; **EDSS** = The Expanded
- 4 Disability Status Scale; **GFAP** = glial fibrillary acidic protein; **MS** = multiple sclerosis;
- 5 MSSS = multiple sclerosis severity score; NfL = neurofilament light chain

6 Introduction

- 7 Both inflammation and neurodegenerative mechanisms have important roles in the
- 8 pathogenesis of multiple sclerosis (MS). Two thirds of the patients who initially present
- 9 with a relapsing-remitting disease (RRMS) will develop a progressive disease course
- before age 75.1 Time of transformation into progressive disease seems to be most of all
- 11 age-dependent, and independent of disease duration or amount of inflammatory activity
- preceding progression.^{1, 2} Identification of clinical disease progression or signs of
- pathology leading to clinical progression is insensitive by clinical or MRI evaluation,
- and is mostly done retrospectively.³ It would be of great value if the risk of
- progression, or the level of progression-related CNS-pathology could be evaluated
- using easily measurable biomarkers.

The search for usable biomarkers for MS progression has been intensive for decades.⁴ 1 2 Most of the potential biomarkers discovered so far have had to be determined from 3 cerebrospinal fluid (CSF) as their concentration in the peripheral blood is extremely 4 low. Repeated CSF-sampling for follow-up of disease pathology is unpractical both in a 5 clinical setting and in therapeutic trials of MS, and there is hence a great need for 6 serum-measurable markers associated with MS disease pathology. Luckily, the 7 methodology for measuring minute concentrations of brain-derived proteins in serum has evolved and is now widely available.^{5, 6} 8 9 10 The two most promising biomarker candidates for nervous system damage-related 11 pathology in progressive MS are neurofilament light (NfL) and the glial fibrillary acidic 12 protein (GFAP). NfL is one of three neurofilament proteins (neurofilament heavy chain, 13 NfH; neurofilament medium chain, NfM and neurofilament light chain, NfL). It is a 14 major cytoskeletal intermediate filament protein in myelinated axons, and one of the 15 most promising biomarker candidates to demonstrate neural damage in a variety of neuropathological conditions, including MS.^{7,8} GFAP is the major intermediate 16 17 cytoskeletal protein of astrocytes. It is another biomarker which has been widely studied for detecting damage of the CNS particularly in traumatic brain injury (TBI).^{9, 10} It is 18 19 released into the intercellular space and CSF during astrocyte activation. 11, 12 Most of

the previous studies on both NfL and GFAP have been conducted on CSF samples, but

both can now be detected also in serum.^{5, 13} Importantly, for both NfL and GFAP the 1 serum concentrations have been shown to reflect the levels in the CSF. 14-17 Higher 2 3 concentrations of NfL in the CSF have been shown to associate with MS relapse and inflammatory activity, 13-15, 18, 19 and both NfL and GFAP in the CSF have been 4 described to be associated with accumulating neurological disability. 14, 15, 18, 20, 21 5 6 7 The usability of CSF GFAP and NFL concentrations as biomarkers for MS has been studied already since 1990s, ^{22, 23} but the results have been somewhat contradictory. This 8 9 is probably mostly because of the use of different measurement techniques and the 10 heterogeneity of the MS patient cohorts. In this study we measured these two potential 11 biomarkers simultaneously from the serum samples of MS patients using ultrasensitive 12 measurement technique, single molecule array (Simoa) assay. Our aim was to report the 13 serum GFAP levels in different cohorts of MS patients and in healthy controls, and to 14 evaluate the usability of serum GFAP measurement as a biomarker for disease 15 progression-related CNS pathology in MS. 16 **Materials and methods** 17 79 MS patients were recruited to this hospital-based cross-sectional study from the 18 Neurology outpatient clinic of the Division of Clinical Neurosciences at the Turku

University Hospital, Turku, Finland. The Ethical Committee of the Hospital District of

Southwest Finland approved the study. A written consent was obtained from all 1 2 patients. 46 patients had relapsing remitting disease (RRMS), and 33 had secondary 3 progressive MS (SPMS). In addition, 13 healthy age-matched controls (HC) were 4 included. 40 RRMS (85.11 %) and 11 SPMS (33.33 %) patients were on disease 5 modifying therapy (DMT) (natalizumab n=10, interferon beta-1a n=8, glatiramer 6 acetate n=6, rituximab n=5, dimethyl fumarate n=5, fingolimod n=14, teriflunomide 7 n=3). Blood samples were collected from all patients and controls, and serum was 8 stored at -40°C within four hours of sampling. The patients were free of clinical relapses 9 (new neurological symptoms lasting at least 24 hours) during the time of sampling. 10 Clinical disease course, disease duration and patient age were reviewed, and EDSS 11 score was assessed by the investigating neurologist. The demographics and clinical 12 parameters of the cohorts are summarized in table 1. Concentrations of GFAP 13 (commercially available kit) and NfL were measured from paired serum samples using single molecule array (Simoa) assay technology.^{5, 14} The statistical analysis was 14 15 performed using R statistical tool (3.5.0). For the correlation analysis Spearman's rank 16 correlation coefficient was determined. The differences between groups were assessed 17 using the Kruskal-Wallis test. Pairwise differences were assessed using the Wilcoxon 18 rank-sum test. GFAP and NfL were used to predict the disease status using logistic

regression and classification tables were constructed using probability 0.5 as the

- 1 threshold. Additionally, ROC curves and AUC values were used to assess the goodness
- 2 of the predictive power.
- 3 MRI data was available from 6 HC, 46 RRMS and 19 SPMS patients at the time of
- 4 serum sampling and clinical evaluation. The MRI sequences included 3DT1 and FLAIR
- 5 sequences, wherefrom volumes of grey matter cortex (GMctx), normal appearing white
- 6 matter (NAWM) and total T1 and T2 lesion volumes (cm³) were defined using
- 7 Freesurfer software and Lesion Segmentation Tool according to our previously reported
- 8 methodology.²⁴ The raw data used in preparation of the article will be shared in
- 9 anonymized format by request of a qualified investigator.

10 Results

- 11 Patients
- 12 The MS patients and HC did not differ in age or sex, but the SPMS patients were
- significantly older than the RRMS patients (table 1). The demographic details of the
- study subjects are listed in table 1.
- 15 [Table 1]
- 16 Serum GFAP and NfL
- 17 The levels of GFAP and NfL were strongly associated with each other (figure 1A). The
- 18 level of serum GFAP was higher in MS patients compared to healthy controls, but there
- was no statistically significant difference in the NfL level compared to healthy controls

- 1 (table 1, figure 1B). Majority of the MS patients (64.56 %) were using disease
- 2 modifying treatment (DMT), which allowed us to evaluate the effect of DMT on serum
- 3 GFAP and NfL levels. The level of both GFAP and NfL was significantly higher in
- 4 patients with no DMT compared to patients with treatment (figure 1C).

- 6 When evaluated within the MS subgroups, both GFAP and NfL were elevated among
- 7 SPMS patients when compared to RRMS or controls (table 1, figure 1D). The level of
- 8 neither biomarker within the RRMS group differed significantly from HC (table 1,
- 9 figure 1D). As the levels of GFAP and NfL are known to increase with age, we
- 10 corrected the results including age as a covariant to the statistical analysis. Age-
- 11 correction did not change the statistical significances (table 1).
- 12 Association of GFAP and NfL with MRI parameters
- 13 The NfL level in RRMS was relatively low and comparable to HC in our cohort. As
- earlier studies have shown that NfL levels associate with MRI activity, 8, 13-16 we
- evaluated the association of focal inflammatory damage, as measured by total T1 and
- T2 lesion loads (cm³) in brain MRI, with the serum levels of the two biomarkers. The
- lesion loads were significantly higher in SPMS compared to RRMS (table 1, figure 2A),
- and both T1 and T2 loads associated with higher GFAP levels (figure 2B). An increased
- 19 T1 lesion load was associated with elevated NfL (figure 2B). GMctx volumes were

- lower in SPMS compared to RRMS (table 1, figure 2A), but there was no statistically
- 2 significant difference in the NAWM volumes. Both biomarkers were negatively
- 3 associated with cortical grey matter (GMctx) but not with normal appearing white
- 4 matter (NAWM) volume (figure 2B).
- 5 The correlation of serum GFAP and NfL with age, disease duration and disability
- 6 Serum levels of GFAP and NfL were associated with age (figure 3A) and elevated
- 7 GFAP and NfL associated strongly with increased disability (higher EDSS score) and
- 8 disease duration (figure 3B and C). The serum level of NfL also associated with MSSS
- 9 (Multiple Sclerosis Severity Score) (figure 3D).
- 10 [Table 2]
- 11 Predictive power of serum GFAP and NfL levels
- Finally, we conducted a statistical analysis to test the categorizing power of the two
- biomarkers. Area under curve (AUC) for serum levels of GFAP and NfL in relation to
- differentiating between RRMS and SPMS patients were 0.77 for GFAP, 0.76 for NfL,
- and 0.81 for GFAP and NfL together (figure 4). The specificity, sensitivity, and positive
- and negative predictive values for both GFAP and NfL are presented in table 2. The
- 17 GFAP prediction matched for 19 SPMS patients but 14 SPMS patients were incorrectly
- categorized as RRMS. The values were almost identical for NfL (18 and 15). For
- 19 RRMS however, GFAP level predicted 41 patients as RRMS and only 5 patients

- 1 incorrectly as SPMS. For NfL these numbers were 39 and 7. The sensitivity of
- differentiating between RRMS and SPMS patients was 57.58 % for GFAP and 54.55 %
- 3 for NfL, with specificity 89.13 % and 84.78 %, respectively (table 2). The values were
- 4 the same as the values for GFAP alone when GFAP and NfL were considered together
- 5 (data not shown).

Discussion

- 7 This is the first study to report serum levels of GFAP in MS using the ultrasensitive
- 8 Simoa assay. Our results indicate that both GFAP and NfL are significantly increased in
- 9 SPMS compared to healthy controls and RRMS. Higher GFAP and NfL levels associate
- with advanced age, EDSS and disease duration, and with each other. The NfL levels
- associate also with MSSS. The statistical significance in the association with the disease
- severity remained when age was considered as covariant (data not shown). Our results
- are in line with previous results, where GFAP level in the CSF was shown to be a
- potential marker of progression in MS.²¹ Association of EDSS with CSF levels of both
- 15 GFAP^{18, 21} and NfL^{14, 15} has also been suggested in previous reports. Importantly,
- 16 GFAP, unlike NfL is not known to increase during acute inflammation in the CSF^{19, 22}
- or in the serum, nor is it known to be affected by DMT. ^{25, 26}

1 In our study, NfL levels in the RRMS group were low and after age-correction 2 comparable to levels in the healthy control group. Considering the nature of our RRMS 3 cohort this finding is not surprising. The RRMS patients were sampled during a relapse-4 free stage of the disease, and they mostly had clinically stable disease with no current 5 MRI evidence of acute inflammation, i.e. there were no gadolinium-enhancing lesions. 6 The RRMS patients had an overall low disease burden, which was also indicated by the 7 low lesion volume in MRI. Most of the RRMS patients (85.11 %) were using a disease 8 modifying therapy (DMT) at the time of sampling. The use of the DMT might also have 9 contributed to the low NfL levels, as initiation of DMT has previously been shown to lead to reduction in the serum NfL level. 15 In our cohort, patients using DMT had 10 11 significantly lower values of both NfL and GFAP compared to those without treatment. 12 13 We evaluated in this study how precisely serum biomarkers GFAP and NfL alone and 14 together could classify MS patients with different disease courses within the study 15 cohort. We found that GFAP was slightly more sensitive (57.58 % vs 54.55 %) and 16 specific (89.13 % vs 84.78 %) in predicting the current disease course (RRMS vs 17 SPMS) of the patients than NfL. The ROC curves indicated that GFAP alone is slightly 18 better than NfL alone. Additionally, these two together give slightly greater AUC value 19 than GFAP alone (0.77 vs 0.81). Although the two biomarkers might not be entirely 20 optimal in describing our patient cohort, there may be other important indications for

1	their use in evaluation of MS patients. It appears that measuring of GFAP and NfL
2	simultaneously may be useful for example for differentiating true relapses and acute
3	inflammatory events associated with MS disease from other neurological symptoms. To
4	give an example, an RRMS patient might have neurological symptoms which could be
5	attributed to inflammatory disease activation. This could be confirmed by measurement
6	of NfL and GFAP. A high NfL and low GFAP in this situation would support the
7	suspicion of a relapse. Another scenario could be a situation when an individual is
8	presenting with neurological symptoms compatible with MS. A high GFAP at an early
9	stage of diagnostic work-up could be indicative of already prevalent neural pathology
10	(which may or may not be visible in MRI). In this situation also NfL would probably be
11	elevated, but if only NfL was measured, one could not differentiate whether the
12	elevation was due to acute inflammation or to more chronic process of
13	neurodegenerative pathology. Further studies are however needed before these serum
14	biomarkers can be reliably used for evaluation of MS patients in the clinic. It will be
15	important to evaluate serum GFAP levels also during relapses and in association with
16	acute gadolinium-enhancing lesions. It will also be useful to measure serum GFAP
17	longitudinally within different subtypes of MS, and before and after various treatments.
18	
19	In conclusion, we demonstrate in this work that serum levels of both GFAP and NfL are
20	markedly increased in SPMS patients compared to healthy controls and RRMS patients.

- 1 Simultaneous NfL and GFAP measurements in serum will be potentially useful for
- 2 evaluation of the nature of the ongoing pathological process in the CNS with GFAP
- 3 likely associating with progression-related pathology, and NfL being released also due
- 4 to inflammation-associated neural damage. For validation as markers of disease activity
- 5 and of disease progression, and possibly as predictors of disease progression,
- 6 longitudinal studies measuring both biomarkers in a rich patient cohort of different
- 7 disease types are still needed. The ease of blood sampling for measurement of MS
- 8 pathology-related biomarkers (as opposed to CSF sampling, or MRI or PET imaging)
- 9 enables frequent and sensitive monitoring of the disease pathology, and prompt
- 10 treatment adjustments.

11 **Author contributions**

- Heidi Högel, analysis and interpretation the data, drafting the manuscript
- 13 Eero Rissanen, acquisition of data, critical revision of manuscript
- 14 Christian Barro, acquisition of data, revision of manuscript
- 15 Markus Matilainen, statistical analysis, revision of manuscript
- 16 Marjo Nylund, acquisition of data, revision of manuscript
- 17 Jens Kuhle, study supervision, critical revision of manuscript for intellectual content
- 18 Laura Airas, study concept and design, study supervision, critical revision of manuscript
- 19 for intellectual content

Disclosures

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Table 1: Demographic characteristics, biomarker concentrations and MRI results of the study subjects.

	Healthy controls (HC)	Patients (MS)	p value ¹	Disease type		p-value ^{1,4}		
			(HCvsMS)	RRMS	SPMS	HCvsRRMS	HCvsSPMS	RRMSvsSPMS
n	13	79		46	33			
Age, median (range)	49.24 (25.43-69.47)	48.26 (28.43-73.60)	0.622	46.32 (28.43-56.13)	56.12 (31.61-73.60)	0.211	0.213	< 0.001
Gender, no of females (%)	9 (69.23)	56 (70.89)	0.903 ³	36 (78.26)	20 (60.60)	0.998 ³	0.998^{3}	0.265
Disease duration, y	-	15.48 (9.25)	-	11.30 (5.20)	21.18 (10.52)	-	-	< 0.001
Age at MS onset, median (range)	-	33.78 (19.31-50.64)	-	33.08 (19.31-48.19)	33.89 (20.45-50.64)	-	-	0.735
EDSS, median (range)	-	3.0 (0.0-8.0)	-	2.5 (0.0-5.0)	6.0 (2.0-8.0)	-	-	< 0.001
MSSS, median (range)	-	3.79 (0.35-8.92)	-	3.05 (0.35-6.81)	5.33 (1.00-8.92)	-	-	<0.001
Immunomodulatory treatment, n (%)	-	51 (64.56)	-	40 (85.11)	13 (39.39)	-	-	-
Serum biomarkers, pg/ml								
GFAP, mean (SD)	79.31 (33.59)	120.00 (82.41)	0.024	89.24 (34.97)	162.88 (107.61)	0.202	0.002	<0.001
GFAP, median (range)	69.08 (42.23-136.98)	98.68 (28.55-508.50)	0.031	86.50 (28.55-211.36)	142.01 (60.84-508.50)	0.293	0.002	<0.001
log(GFAP)			0.0082			0.215 ^{2,5}	0.001 2,5	0.019 ^{2,5}
NFL, mean (SD)	24.89 (7.37)	26.74 (16.90)	0.606	20.62 (10.60)	35.26 (20.23)	0.073	0.007	10.001
NFL, median (range)	22.92 (11.46-36.57)	22.36 (6.59-97.59)	0.606	17.88 (6.59-55.80)	31.60 (9.49-97.59)	0.073	0.097	<0.001
log(NFL)			0.8392			0.552 ^{2,5}	0.658 ^{2,5}	0.044 ^{2,5}
MRI volumes, cm ³								
n	6	65		46	19			
T1 hypo lesion load, mean (SD)	-	9.25 (11.75)	-	5.92 (7.35)	17.30 (16.11)	-	-	< 0.001
T2 lesion load, mean (SD)	-	12.13 (13.29)	-	9.45 (10.76)	18.62 (16.60)	-	-	0.005
NAWM volume, mean (SD)	492.17 (29.83)	450.91 (62.67)	0.081	459.72 (60.09)	429.58 (65.27)	0.235	0.099	0.235
GMctx volume, mean (SD)	498.93 (63.35)	428.69 (47.57)	0.009	439.10 (39.86)	403.49 (55.92)	0.018	0.017	0.017

¹ Wilcoxon rank-sum test, ² ANCOVA with age as a covariate, ³ Chi squared test, ⁴ Holm method for multiple comparisons, ⁵ Tukey method for multiple comparisons

Abbr. EDSS - expanded disability status scale, GFAP – glial fibrillary acidic protein, MSSS – multiple sclerosis severity score, NfL – neuronal filament light, NAWM – normal appearing white matter, GMctx – cortical grey matter

Table 2: The specificity, sensitivity and the predictive values calculated for GFAP and NfL. The first column shows the number of SPMS patients categorized as SPMS or RRMS and the second column the number of RRMS patients categorized as SPMS or RRMS by the prediction using the level of GFAP or NfL. The last column shows the total number of patients categorized as SPMS or RRMS according to level of the biomarker.

	SPMS	RRMS	Total
GFAP			
level predicting SPMS	19	5	24
level predicting RRMS	14	41	55
total	33	46	•
Sensitivity	57.58 %		
Specificity	89.13 %		
Positive predictive value	79.17 %		
Negative predictive value	74.55 %		
NfL			
level predicting SPMS	18	7	25
level predicting RRMS	15	39	54
total	33	46	•
Sensitivity	54.55 %		
Specificity	84.78 %		
Positive predictive value	72.00 %		
Negative predictive value	72.22 %		

1 Figure legends

- 2 <u>Figure 1:</u> The serum biomarker levels of the study subjects. (A) The serum level of GFAP is
- 3 strongly associated with NfL level (r=0.53, p<0.001). (B) Comparison of serum GFAP and NfL
- 4 levels between healthy controls (HC) and MS patients. GFAP but not NfL levels are increased in
- 5 MS patients (p=0.031). (C) The effect of disease modifying treatment (DMT) on the serum GFAP
- and NfL levels. The MS patients with treatment (Yes) have lower serum levels of both GFAP and
- 7 NfL compared to the patients with no DMT (No) (GFAP no vs yes p=0.004, NfL no vs yes
- 8 p=0.003). (D) Comparison of serum GFAP and NfL levels between the patient subgroups. Both
- 9 GFAP and NfL levels are elevated only in SPMS patients (GFAP HC vs RRMS p=0.293, HC vs
- 10 SPMS p=0.002*, RRMS vs SPMS p<0.001*; NfL HC vs RRMS p=0.073, HC vs SPMS p=0.097,
- 11 RRMS vs SPMS p<0.001*). The asterisk (*) indicates statistical significance (p<0.05).
- 12 Figure 2: (A) Comparison of MRI measures between RRMS and SPMS and healthy controls (HC).
- Both T1 and T2 lesion loads (cm³) are significantly higher in SPMS compared to RRMS (p<0.001*
- and p=0.005*). Also, the cortical grey matter (GMctx) volume (cm³) is significantly lower in SPMS
- compared to HC (p=0.017*). The GMctx volume (cm³) in SPMS is also lower compared to RRMS
- 16 (p=0.017) and in RRMS compared to HC (p=0.018). The differences in normal appearing white
- matter (NAWM) volumes are not statistically significant. (B) GFAP levels associate positively with
- 18 T1 (r=0.33 p=0.007*) and T2 (r=0.28 p=0.024*) lesion loads (cm³), and NfL with T1 lesion load
- 19 (cm³) (r=0.28 p=0.026*). Both are also negatively associated with GMctx volume (cm³) (GFAP r=-
- 20 0.31 p=0.012*, NfL r=-0.32 p=0.009*) but not with NAWM volume (cm³). The asterisk (*)
- 21 indicates statistical significance (p<0.05).
- Figure 3: GFAP and NFL levels associate with (A) age (r=0.43 p<0.001* and r=0.51 p<0.001*), (B)
- 23 disease duration (r=0.49 p<0.001* and r=0.41 p<0.001*) and (C) EDSS (r=0.47 p<0.001* and
- r=0.43 p<0.001*). (D) NfL level associates also with MSSS (r=0.26 p=0.023*) in MS patients. The
- asterisk (*) indicates statistical significance (p<0.05).

- 1 Figure 4: Receiver operating characteristics (ROC) curves with area under curve (AUC) for GFAP
- 2 and NfL separately, and together indicating specificity and sensitivity to discriminate between
- 3 RRMS and SPMS patients. AUC for serum GFAP was 0.766 (89.1 % specificity and 57.6 %
- 4 sensitivity), for NfL 0.761 (84.8 % specificity and 54.6 % sensitivity), and together 0.814 (89.1 %
- 5 specificity and 57.6 % sensitivity).







