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LRH: Zuquim et al.

RRH: Broad scale fern distribution in Amazonia

**Broad Scale Distribution of Ferns and Lycopytes along Environmental Gradients in
Central and Northern Amazonia, Brazil**

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1 **Abstract:**

2 Establishing which factors determine species distributions is of major relevance for practical
3 applications such as conservation planning. The Amazonian lowlands exhibit considerable
4 internal heterogeneity that is not apparent in existing vegetation maps. We used ferns as a model
5 group to study patterns in plant species distributions and community composition at regional and
6 landscape scales. Fern species composition and environmental data were collected in 109 plots of
7 250 m x 2 m distributed among four sites in Brazilian Amazonia. Interplot distances varied from
8 1 to ca. 670 km. When floristically heterogeneous datasets were analyzed, the use of an extended
9 Sørensen dissimilarity index rather than the traditional Sørensen index improved model fit and
10 made interpretation of the results easier. Major factors associated with species composition
11 varied among sites, difference in cation concentration was a strong predictor of floristic
12 dissimilarity in those sites with pronounced heterogeneity in cation concentration. Difference in
13 clay content was the most relevant variable in sites with uniform cation concentrations. In every
14 case, environmental differences were invariably better than geographic distances in predicting
15 species compositional differences. Our results are consistent with the ideas that 1) the relative
16 predictive capacity of the explanatory variables depends on the relative lengths of the observed
17 gradients and 2) environmental gradients can be hierarchically structured such that gradients
18 occur inside gradients. Therefore, site-specific relationships among variables can mask the
19 bigger picture and make it more difficult to unravel the factors structuring plant communities in
20 Amazonia.

21

22 Key-words: dispersal limitation; environmental factors; extended dissimilarities; pteridophytes;
23 species turnover; Sørensen index; tropical forest; understory

1 IDENTIFYING AND UNDERSTANDING PATTERNS IN HOW SPECIES ARE DISTRIBUTED IS ESSENTIAL FOR
2 CONSERVATION PLANNING, AND BIOGEOGRAPHICAL RESEARCH HAS LONG BEEN RECOGNIZED AS
3 FUNDAMENTAL FOR THE ELABORATION OF CONSERVATION STRATEGIES IN THE AMAZON BASIN
4 (RYLANDS 1990, GUISAN & ZIMMERMANN 2000). The Amazonian lowlands, including forests in
5 non-inundated areas (terra firme), exhibit considerable internal heterogeneity that is not apparent
6 in existing vegetation maps (Emilio *et al.* 2010). These maps (e.g., Capobianco *et al.* 2001, IBGE
7 2004) are therefore insufficient when the goal is to preserve a representative mosaic of
8 Amazonian habitats and the species they harbour. General knowledge of the distribution of the
9 Amazonian biota is still limited: collection density is low, and most collections come from only a
10 few localities (Nelson *et al.* 1990, Hopkins 2007). Moreover, there is no consensus on the role of
11 environmental factors in predicting species composition at different spatial scales. Complete
12 inventories of all species in Amazonia are impossible due to the high species richness. Therefore,
13 mapping the distribution of organismal diversity and evaluating the representativeness of
14 protected areas are in practice dependent on the use of surrogate taxa and the modelling of
15 species–environment relationships (Margules *et al.* 2002, Ruokolainen *et al.* 1997, 2007).

16 The balance between the relative importance of stochastic and environmental factors can
17 be expected to change with both the spatial scale considered and the degree of environmental
18 variation in the area of interest (Costa *et al.* 2005, Jones *et al.* 2006, 2008, Karst *et al.* 2005,
19 Ruokolainen *et al.* 1997, 2007, Tuomisto *et al.* 2003a, b, c, Zuquim *et al.* 2009). At
20 biogeographical scales, species present in one region may be absent from another because they
21 have never managed to disperse there. In environmentally heterogeneous areas, species present
22 in some localities may be absent from others because conditions there are not favourable.
23 Dispersal limitation causes spatial autocorrelation in species distributions. Separating this effect

1 from the effect of environmental heterogeneity is difficult, because environmental variables are
2 often spatially autocorrelated as well. Environmental features have been consistently found to be
3 important predictors of changes in plant species composition in neotropical forests (Phillips *et al.*
4 2003, Tuomisto *et al.* 2003a, b, c, Costa *et al.* 2005, Kinupp & Magnusson 2005, Jones *et al.*
5 2006, Ruokolainen *et al.* 2007, Zuquim *et al.* 2009). Even though it is obvious that no plant
6 species can grow in all environmental conditions, establishing which environmental factors are
7 most important for species distributions is not easy, and requires repeated inventories made in
8 different areas.

9 The present knowledge on plant species distribution patterns in terra firme forests of
10 Amazonia comes mostly from the western part of the basin, where the concentration of
11 exchangeable bases in the soil has consistently emerged as one of the most important
12 environmental factors associated with turnover in plant community composition at landscape to
13 regional scales (Tuomisto & Poulsen 1996, Duivenvoorden 1995, Tuomisto *et al.* 2002, 2003a,
14 b, c, Phillips *et al.* 2003, Vormisto *et al.* 2004, Higgins *et al.* 2011). In central Amazonia,
15 floristic studies have mainly addressed local to mesoscales (0-100 km²), and in these studies soil
16 clay content has emerged as especially important (Laurance *et al.* 1999, Costa *et al.* 2005,
17 Kinupp & Magnusson 2005, Castilho *et al.* 2006).

18 In studies on tropical plant communities, a large part of the variation in species turnover
19 usually remains unexplained (Duivenvoorden *et al.* 2002, Tuomisto *et al.* 2003a, c, Vormisto *et*
20 *al.* 2004, Jones *et al.* 2006). It is possible that the unexplained variation is truly stochastic and
21 unstructured (and hence not describable by a predictive model), but the proportion of
22 unexplained variation can also be inflated for technical reasons, such as sampling error,
23 inadequate analytical tools and the omission of one or more relevant predictors. In the present

1 paper, we attempt to eliminate one problem related to the analytical tools, namely the saturation
2 of compositional dissimilarity at a fixed maximum value when sampling units share no species.

3 Both the relative importances of spatial vs. environmental factors, and the relative
4 importance of each environmental factor in determining species distributions, differ among
5 spatial scales and plant groups (Duivenvoorden *et al.* 2002, Phillips *et al.* 2003, Tuomisto *et al.*
6 2003a, b, c, Normand *et al.* 2006). This affects decisions on which predictors to use for planning
7 and management in each scale and region. In this study, we address patterns in plant species
8 distribution at the community level and the relationships with edaphic and climatic variables at
9 broad (distances exceeding 600 km) and landscape (distances of 7-15 km) scales. We also
10 explore the effects of using extended instead of traditional compositional dissimilarities in the
11 analyses. This study focuses on ferns and lycophytes, but the results are probably indicative of
12 patterns in other plant groups as well (Tuomisto *et al.* 2003a, b, c, Ruokolainen *et al.* 1997, 2007,
13 Duque *et al.* 2005, Jones *et al.* 2008).

14

15 **METHODS**

16

17 **STUDY SITES** – The study was carried out at four sites in central and northern Brazilian
18 Amazonia, in the States of Amazonas and Roraima (Fig. 1). Forest structure varies from dense
19 lowland tropical forest to white sand forest (*campinaranas*) and shrublands (*campinas*). The sites
20 are located from 90 to ca. 670 km apart. Three sites are located to the north of the Amazon River
21 and are within protected areas. One site is located to the south of the Amazon River along the
22 BR-319 highway, and lacks protection status, so it is prone to threats such as deforestation and
23 selective logging. All the sites are subject to long-term research by Brazilian Biodiversity

1 Research Program (PPBio), and therefore have a permanent inventory plot system. The study
2 sites are described below in geographical order, from north to south, with additional information
3 given in Table 1.

4 **Viruá National Park (Viruá):**

5 Viruá National Park is located in the State of Roraima, ca. 150 km to the south of Boa Vista. It
6 comprises a mosaic of poorly-drained sandy flat areas and higher areas with clayey soils.

7 Physiognomies vary from white-sand grasslands and shrublands (*campinaranas gramíneo-*
8 *lenhosas e arbustivas*) and white-sand forests (*campinarana arbórea*) to lowland ombrophilous
9 dense forest (IBGE 2004). The plots were installed in the northeastern area of the park in a
10 permanent study grid of PPBio.

11 **Uatumã Biological Reserve (Uatumã):**

12 The Uatumã Biological Reserve is located ca. 120 km north of Manaus, next to the Balbina
13 Hydroelectric Dam on the Uatumã River. The reserve is covered by sub-montane ombrophilous
14 dense forest (IBGE 2004). The reserve is in a geologically complex area in the contact zone
15 between the pre-Cambrian Barreiras formation and the Paleozoic formation (Irion 1978). The
16 plots were installed in the southernmost part of the reserve in a permanent study grid of PPBio,

17 **Reserves of the Biological Dynamics of Forest Fragments Project (BDFFP):**

18 The site is situated about 60 km to the north of Manaus city and the plots were divided between
19 three continuous forest reserves along a branch of Manaus – Boa Vista Highway (Km 41, Cabo
20 Frio and Gavião). Each reserve comprises ca. 12 km² of *terra firme* tropical rain forest (lowland
21 ombrophilous dense forest; IBGE 2004). Soils are characterized by kaolinitic clays on plateaus
22 and at higher elevations, grading to sandy podzols at lower elevations, as is typical in the Manaus

1 region. They are derived from highly weathered tertiary fluvio-lacustrine deposits and are acidic
2 and poor in nutrients (Chauvel *et al.* 1987).

3 **BR-319 Highway, km 220 (BR-319):**

4 The site is situated ca. 200 km to the south of Manaus near km 220 of the BR-319 Highway
5 (Manaus-Porto Velho). The road lies in the interfluvium between the Purus and Madeira Rivers and
6 is mainly covered by lowland ombrophilous dense forest (IBGE 2004). The plots are installed on
7 Pleistocene sediments of the Solimões formation. The topography is flat, and small variations in
8 relief (1 to 3 m) promote the occurrence of temporary ponds.

9 DATA COLLECTION: SAMPLING DESIGN - A total of 109 plots of 250 m x 2 m were sampled (38
10 plots in BDFFP, 30 in Viruá, 30 in Uatumã and 11 along the BR-319). Each plot followed the
11 topographic contour to minimize internal heterogeneity in soil properties, which are often
12 correlated with topographic position (Chauvel *et al.* 1987, Mertens 2004). The sampling design
13 was based on the RAPELD methodology (Magnusson *et al.* 2005) and on Zuquim *et al.* (2007).
14 The minimum distance between two plots was 1 km. In Viruá and Uatumã, the plots were
15 distributed in regular grids of 5 km x 5 km. In BDFFP, the plots were distributed in three almost
16 regular 3 km x 4 km grids and on the BR-319, the plots were located along two parallel trails of
17 5 km (Fig. 1). All the plots are part of the Brazilian Biodiversity Research Program (PPBio)
18 network and the environmental and floristic data are publicly available
19 (<http://ppbio.inpa.gov.br/Eng/dadosinvent/>).

20 DATA COLLECTION: FLORISTIC DATA – Inventories were focused on ground-rooted ferns and
21 lycophytes, and included terrestrial, rupicolous and climbing individuals. Terrestrial individuals
22 of species that mainly grow as epiphytes were included in the inventory, but epiphytic
23 individuals were not. In each plot, all terrestrial, rupicolous and hemi-epiphytic fern and

1 lycophyte individuals with at least one leaf (or stem in the case of lycophytes) longer than 10 cm
2 were counted and identified. In the 38 plots of BDFFP, individuals with leaves between 5 and 10
3 cm were also included in the sample. Climbing individuals whose lowermost green leaves were
4 higher than 2 m above ground were excluded because they are difficult to see from the ground.
5 Each stem of *Selaginella* was counted as one individual in BDFFP, while in Viruá, Uatumã and
6 BR-319, groups of stems closer than ca. of 30 cm to each other were considered as individuals.
7 Voucher specimens were collected to verify the identities of the species. Full sets of the vouchers
8 are deposited in Herbaria at the Instituto de Botânica de São Paulo (SP) and privately with the
9 first author, and fertile duplicates at Instituto Nacional de Pesquisas da Amazônia (INPA), all in
10 Brazil.

11 DATA COLLECTION: ENVIRONMENTAL DATA - Surface soil samples (topmost layer of the mineral
12 soil sampled down to 5–10 cm depth) were taken every 50 m along the longer axis of each plot.
13 In most sites, the six soil samples from the same plot were bulked into a single composite sample
14 before laboratory analyses. In BDFFP, each sub-sample was analysed separately and the mean
15 value per plot was used for each of the edaphic variables. Before laboratory analyses, the soil
16 samples were cleaned of roots, air-dried and sieved through a 2 mm mesh. Analyses included
17 soil texture (percentage of clay, silt and sand) and exchangeable bases (Ca, Mg, K, and Na using
18 the Mehlich 1 method). All samples were analysed in the Thematic Laboratory of soils and
19 plants at INPA.

20 Hemispherical photographs of the canopy were used to estimate the amount of light
21 available in the understory. Percentage values of canopy openness were derived from digital
22 images of the forest canopy taken at 50 to 100 cm above ground, under clouded conditions, in
23 the early morning or late afternoon. Six images were taken in each plot at 50 m intervals. The

1 photos were analyzed with the software Gap Light Analyzer (Frazer *et al.* 1999) by two different
2 persons. To verify data consistency, 10 pictures were analysed by both persons. The correlation
3 between their results (Pearson's $r = 0.98$) was considered sufficiently high for the purpose of the
4 present paper. Three plots had to be excluded from analyses involving light because their
5 hemispherical photos were overexposed. Geographical coordinates of the plots were taken using
6 a Garmin 12XL GPS. Dry season length of each site was estimated based on Sombroek (2001).
7 For BR-319, Sombroek (2001) gave the dry season length as 1–2 months, and 2 months was used
8 in the analyses.

9 DATA ANALYSES – We used presence/absence instead of abundance data to minimize the
10 influence of differences in plant sampling protocols among sites, especially different minimum
11 size limits and differences in delimiting individuals in clonal *Selaginella* species. The total
12 number of species (γ richness) was calculated for each site. This was partitioned into two
13 components, the mean species richness per plot (α richness) and the number of compositional
14 units (β richness = γ/α). The latter is a measure of compositional heterogeneity and quantifies
15 how many plots of mean species richness would be needed to accumulate the total species
16 richness observed, if the plots shared no species (Tuomisto 2010).

17 To analyse the floristic data, we first calculated for each plot pair the Sørensen index,
18 which quantifies the proportion of species shared between two plots (number of species shared
19 divided by the average number of species present in the two plots). The Sørensen similarity
20 index values were converted to dissimilarities (here called Sørensen dissimilarities) by
21 subtracting from unity. When two sampling units share no species, the Sørensen dissimilarity
22 between them necessarily equals the maximum value of unity no matter how large the actual
23 ecological distance between the sampling units. This leads to distortions, such as the arch effect,

1 that complicate the interpretation of ordination results in highly heterogeneous data. One solution
2 that has been proposed to alleviate this problem is the step-across or extended dissimilarity
3 method (Williamson 1978, Bradfield & Kenkel 1987, Belbin 1991, De'ath 1999). An extended
4 dissimilarity measure is obtained between two sampling units that share no species (A and B) by
5 using an intermediate sampling unit (C) as a stepping-stone, and calculating the sum of the
6 dissimilarities A-to-C and C-to-B. More than one intermediate sampling unit can be used, and
7 the smallest of the possible dissimilarities is then used. This method is theoretically appealing,
8 because it allows compositional dissimilarity values to continue increasing with ecological
9 distance beyond the distance at which no species are shared. Extended dissimilarities have as yet
10 been little used in practical applications (but see Laitinen *et al.* 2007, Laitinen *et al.* 2008,
11 Mahecha & Schmidtlein 2008). Here we report the results using the classical and extended
12 dissimilarities in parallel to allow their comparison. Extended Sørensen dissimilarities were
13 calculated using the method of De'ath (1999).

14 Principal Coordinates Analysis (PCoA) was applied to visualize floristic dissimilarities in
15 ordination diagrams, both for all plots together and for each site separately. Multivariate multiple
16 regressions were then carried out using the two first PCoA axes as dependent variables in order
17 to test how well the community composition axes can be explained by environmental variables.
18 The soil characteristics were synthesized into two variables: clay content (in %) and cation
19 concentration (sum of the exchangeable bases Ca, Mg and K, in cmol(+)/kg; the concentration of
20 Na in the soil samples was below detection level). Cation concentration was transformed before
21 analysis by taking its natural logarithm.

22 To quantify to what degree variation in environmental and geographical distances can
23 explain variation in species turnover between plots at different scales, multiple regression on

1 dissimilarity matrices was used (Legendre *et al.* 1994). The Euclidean distance was used to
2 quantify differences in environmental variables among plots. Geographical distances were log
3 transformed before analysis and the resulting distances checked for linearity and homogeneity of
4 variances with the floristic similarities. The unique and shared contributions of both kinds of
5 explanatory data to explaining variation in the floristic dissimilarity matrix were quantified with
6 variance partitioning (Borcard *et al.* 1992, Duivenvoorden *et al.* 2002, Tuomisto *et al.* 2003c).
7 These analyses were carried out both for the whole dataset (102 plots; some plots had to be
8 excluded because they had no ferns or because some environmental data were missing) and for
9 each of the four sites separately. Dry season length was only used in analyses of the entire data
10 set, because it did not vary within sites. Simple and partial Mantel tests were applied to quantify
11 the correlations between geographical distances, differences in dry season length and
12 dissimilarities in species composition of ferns and lycophytes.

13 We encountered no ferns or lycophytes in five of the 109 plots, and these were excluded
14 from PCoA and Mantel tests. Ordinations and Mantel tests were made in the Vegan package
15 (Oksanen *et al.* 2005) for R v. 2.6.2 (R Development Core Team 2008) and RStudio v. 0.92.44
16 (<http://www.rstudio.org>). Multiple regression on dissimilarity matrices were made using
17 PERMUTE v.3.4 (<http://www.bio.umontreal.ca/Casgrain/en/labo/permute/>) and basic statistics
18 were done in Systat 8.0 (Wilkinson 1998).

19

20 **RESULTS**

21

22 SPECIES DIVERSITY AND ENVIRONMENTAL CONDITIONS – In the 109 plots, we recorded 92 species
23 of ferns and lycophytes (with two varieties of *Lindsaea lancea* being counted as species),

1 representing 31 genera and 19 families (Appendix S1). The most frequent species in the dataset
2 were *Trichomanes pinnatum* Hedw., found in 81 plots (88%), and *Lindsaea lancea* var. *lancea*
3 (L.) Bedd and *Adiantum cajennense* Willd., both found in about 50% of the plots. A large
4 percentage of the species (40%) occurred in only one or two plots. Five plots had no fern or
5 lycophyte species, whereas the most species-rich plot had 27 species, with the mean being 7.8
6 species per plot. Of the four regions, Uatumã had the highest species richness both in total ($\gamma =$
7 62 spp vs. 9–33 in the other regions) and per plot ($\alpha = 13.7$ spp/plot vs. 2–8.7 in the other
8 regions; Table 1). The total species richness was almost identical in Viruá and BDFFP, even
9 though BDFFP had more than four times as many species per plot. This is because Viruá was
10 much more heterogeneous ($\beta = 16.0$ compositional units vs. 3.8), which is especially noteworthy
11 because BDFFP was sampled with more plots. BR-319 was sampled with fewer plots than the
12 other sites (11 vs. 30–38), so the small total number of species ($\gamma = 9$ spp) and limited
13 compositional heterogeneity ($\beta = 2.1$) are partly sampling artefacts.

14 Cation concentration in the soil was less than 2 cmol(+)/kg in the vast majority of plots.
15 Only two plots in Uatumã had values higher than this. Mean silt content was highest in the BR-
16 319 plots. No linear correlation was found among the contents of clay, silt and cation
17 concentration in the 109 plots. Mean canopy openness was between 2 and 13% in almost all
18 plots, with just three plots in Viruá having canopy openness from 24 to 71%. Viruá differed from
19 the other sites also in being more seasonal (dry season length of 5 months vs. 0-2 months in the
20 other sites).

21 There was clear species turnover along the gradient of soil cation concentration (Fig. 2).
22 Many species and some genera (such as *Diplazium*, *Pteris*, *Thelypteris*, *Mickelia* and *Bolbitis*)

1 were restricted to the soils with the highest cation concentrations. Consequently, such plots had,
2 in general, higher species richness than plots with lower soil cation concentrations.

3 FLORISTIC VARIATION ALONG ENVIRONMENTAL GRADIENTS - In all ordination diagrams, the
4 relative positions of the plots seemed related to at least one environmental variable (Fig. 3). In
5 the analysis of the full dataset, composition was related to all environmental variables included
6 in the models. In Uatumã and Viruá, composition was best explained by $\ln(\text{cation concentration})$
7 and canopy openness. Composition was related to clay content in all sites except Viruá, and this
8 was the only significant relationship in BDFFP and BR-319. In these two sites, the proportion of
9 variance in the first ordination axis values that was explained by the environmental variables
10 (adjusted R^2) was much lower than that in the second axis. This indicates that some other factors
11 than those measured in the present study were behind the primary compositional gradient in
12 BDFFP and BR-319.

13 The effect of using extended rather than traditional Sørensen dissimilarities varied among
14 the study sites. For three of the sites (Uatumã, BDFFP and BR-319), the ordination results (Fig.
15 3) were similar with either measure. In contrast, clearly different configurations of plots were
16 obtained with the two dissimilarity measures for Viruá and the entire dataset. In both cases, the
17 compositional relationships became easier to interpret when extended Sørensen dissimilarities
18 were used. Correspondingly, for these two datasets, extending the Sørensen dissimilarities
19 increased both the eigenvalue of the first PCoA axis and the degree to which variance in the axis
20 values was explainable by environmental variables (Table 2). These results reflect differences in
21 the degree of compositional heterogeneity in the corresponding datasets. In the entire dataset,
22 mean Sørensen dissimilarity between plots was 0.73, and 27% of the dissimilarities had saturated
23 to the maximum value of unity. In Viruá, mean Sørensen dissimilarity was 0.95 and saturation

1 was 77%, whereas in the other three sites, mean Sørensen dissimilarity was 0.54–0.68 and
2 saturation only 0–2%.

3 EXPLAINING VARIATION IN COMPOSITIONAL DISSIMILARITIES – Compositional dissimilarities in
4 the full dataset were correlated with both log-transformed geographical distances and differences
5 in dry season length (Table 3). Since geographical distances and differences in dry season length
6 were themselves strongly correlated (Mantel's $r = 0.89$, $p < 0.001$), partial correlation coefficients
7 were clearly lower than simple ones but both were still significant (Table 3).

8 When multiple regression on dissimilarity matrices was done with the full dataset, the
9 backward elimination procedure excluded the dissimilarity matrix based on clay content if
10 classical Sørensen dissimilarities were used. Dissimilarity matrices based on dry season length,
11 $\ln(\text{cation concentration})$ and canopy openness were kept in the final model whether classical or
12 extended Sørensen dissimilarities were used. The sites differed in which explanatory factors
13 were kept in the final model (Fig. 4). The distance matrix based on clay content was a relevant
14 variable in every site, and in BR-318 it was the only variable kept in the final model. In the
15 models of the other sites, also cation concentration difference and geographical distance were
16 retained. The models for Viruá and Uatumã retained difference in canopy openness. The results
17 based on dissimilarity matrices (Fig. 4) paralleled those based on ordination axes (Table 2) in
18 largely identifying the same environmental variables as important.

19 Environmental dissimilarities were better in predicting compositional dissimilarities than
20 geographical distances were, both for the full dataset and for each site separately. The unique
21 explanatory power of geographical distances was small in all multiple regression models. When
22 geographical distance was retained in the models, its contribution went mainly to the shared
23 component. The proportion of explained variance was higher for the extended Sørensen

1 dissimilarities than for the classical Sørensen dissimilarities in the full dataset as well as within
2 Viruá and Uatumã. In BR-319 and BDFFP, where the proportion of unexplained variance was
3 especially large, it made little difference whether classical or extended Sørensen dissimilarities
4 were used (Fig. 4).

6 **DISCUSSION**

7
8 Our results indicate that the main factors driving fern community composition depend on the
9 environmental heterogeneity of the study area and the spatial scale of sampling. Below, we
10 discuss the relative importance of environmental factors and geographical distance (which can be
11 thought to represent dispersal limitation) at different spatial scales.

12 MAJOR DETERMINANTS OF COMPOSITIONAL VARIATION – Among-species niche partitioning in
13 relation to soil nutrients has been suggested as a mechanism that promotes speciation and
14 regional co-existence of closely related species in tropical forests (Tuomisto *et al.* 1998,
15 Schulman *et al.* 2004, Fine *et al.* 2005, Tuomisto 2006, Jones *et al.* 2007). Further studies with
16 explicit phylogenies and physiological studies are needed to confirm these hypotheses, but
17 repeated field observations can also be used to test them. The results of the present study are in
18 agreement with the proposition that specialisation and differentiation among plant species is an
19 important factor behind compositional turnover along environmental gradients within tropical
20 forests.

21 Earlier studies in neotropical rain forests have reached different conclusions on which
22 environmental factors best explain floristic variation. Several studies have found climate
23 important (Clinebell *et al.* 1995, ter Steege *et al.* 2003), whereas others have emphasised soil

1 cation and clay content (Tuomisto & Poulsen 1996, Ruokolainen *et al.* 1997, 2007, Tuomisto *et*
2 *al.* 2003a, b, c, Phillips *et al.* 2003, Jones *et al.* 2006) or soil clay content only (Costa *et al.* 2005,
3 Zuquim *et al.* 2009). In the present study, we found that all three factors were important at the
4 extent of all sites, but their explanatory powers differed among sites.

5 Soil cation concentration was the best predictor of floristic variation in the entire dataset
6 and in Uatumã. In the other sites, clay content was the most important predictor. This is linked to
7 the soil cation concentration varying most in Uatumã: the highest soil cation concentrations there
8 were much higher than in any of the other sites, although the lowest values were very similar
9 among sites. This caused more pronounced cation differences among plots in Uatumã than in the
10 other sites. These results are consistent with the idea that the relative roles of explanatory
11 variables depend on the observed lengths of the environmental gradients (Ruokolainen *et al.*
12 1997, 2007, McCoy 2002, Jones *et al.* 2006, Costa *et al.* 2009). Earlier studies that have found
13 cation concentration to have poor explanatory power (Costa *et al.* 2005, Zuquim *et al.* 2009)
14 were conducted in areas where soil cation concentration was more uniform than in the sites
15 analysed here.

16 The ability to detect trends in species distributions depends on the spatial structure of the
17 environment (Nekola & White 1999) and on the length of the environmental gradient studied.
18 Within one site, the range of variation in environmental variables must logically increase as the
19 area considered increases (environmental heterogeneity may remain the same, but cannot
20 decrease with increasing area). However, when separate sites are compared, they may differ in
21 environmental heterogeneity such that a large area in one site contains a smaller range of
22 environmental variation than a smaller area in another site. Among our study sites, Uatumã
23 showed a range of variation in soil cation concentration that was almost as large as the range in

1 the entire data set, whereas the other sites were much more homogeneous in this respect.
2 Consequently, the results of variance partitioning for the Uatumã data were similar to those for
3 the entire data set. The other three sites comprised only a small part of the entire cation
4 concentration gradient, so soil clay content emerged as the best environmental predictor, albeit
5 with a low predictive power.

6 Plants need exchangeable bases to grow, so it is logical to expect cation availability to
7 have a causal connection with plant species distributions. In contrast, clay is not a
8 physiologically necessary substance, so its explanatory power comes from its correlations with
9 factors like soil water holding capacity and drainage. When the environment is homogenous,
10 biological interactions and stochastic factors can be expected to play a larger role in determining
11 spatial patterns in species distribution than in environmentally heterogeneous areas. Many of
12 these factors are difficult to observe and quantify, so their effects appear in the unexplained
13 variation in statistical models.

14 GEOGRAPHICAL DISTANCES – Many studies looking at broad scales have found that floristic
15 similarity between plots decreases with geographical distance (Nekola & White 1999,
16 Duivenvorden *et al.* 2002, Condit *et al.* 2002, Tuomisto *et al.* 2003b, Vormisto *et al.* 2004,
17 Normad *et al.* 2006), which has sometimes been interpreted as an indication that dispersal
18 processes determine species distributions. At landscape scales, some studies have found distance
19 decay of similarity (Tuomisto *et al.* 2003a) whereas others have not (Ruokolainen *et al.* 1997,
20 Tuomisto *et al.* 2003c, Karst *et al.* 2005, Jones *et al.* 2006). There is strong evidence for
21 dispersal limitation of fern spores at continental scales (Muñoz *et al.* 2004, Geiger *et al.* 2007),
22 but to what extent this process determines patterns of species distribution in Amazonia is still
23 unknown. Despite the high dispersal ability of fern spores, most spores fall close to the mother

1 plant (Peck *et al.* 1990), so some spatial structure determined by dispersal limitation is to be
2 expected.

3 The shared component in the multiple regression models is equally compatible with the
4 hypothesis that species composition and environmental variables are independent of each other
5 but co-vary because both are spatially autocorrelated, and the hypothesis that species
6 composition has a causal relationship with spatially autocorrelated environmental variables.
7 However, the unique explanatory power of geographical distances was very low in our analyses,
8 both in comparison with the component co-explained by environmental differences and
9 especially in comparison with the component uniquely explained by environmental differences.
10 This suggests that dispersal limitation is not the main driver of fern species distributions in
11 Amazonia.

12 CLASSIC AND EXTENDED SØRENSEN DISSIMILARITIES – The use of extended Sørensen
13 dissimilarities instead of classic Sørensen dissimilarities strongly influenced the interpretation of
14 results obtained for the entire dataset and for Viruá and Uatumã, where environmental and
15 floristic heterogeneity was high. Extended Sørensen dissimilarities improved the visual
16 interpretability of the ordination diagrams, increased the variation captured by ordination axes,
17 and increased the total proportion of explained variance in multiple regression on dissimilarity
18 matrices. The extended dissimilarity approach therefore proved useful in regions with high
19 turnover of species among plots. In the sites with low species turnover (BDFFP and BR-319),
20 extending the floristic dissimilarities had little effect on the results. As proposed by De'ath
21 (1999), it therefore seems that when environmentally heterogeneous areas are covered, extended
22 dissimilarities can facilitate the interpretation of results and provide more robust inferences about
23 community turnover along external gradients than classical dissimilarities do.

1 Our results support the hypothesis that current environmental conditions are more
2 important than dispersal limitation for fern species distributions and turnover in central and
3 northern Amazonia. Both climate and soil properties (especially cation concentration) appear to
4 be major drivers, but the heterogeneity created by them has a different spatial structure and is
5 hence best observable at different spatial scales. In sites with uniform soil cation concentration,
6 soil clay content emerged as important, which supports the idea that environmental gradients are
7 hierarchically structured and gradients occur inside gradients (Costa *et al.* 2009). This causes
8 site-dependent results that can mask the bigger picture of factors structuring plant communities
9 in Amazonia.

10

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12

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22

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17 TABLES

18 Table 1. Descriptive statistics of species richness and environmental variables in 109 plots in
19 four study sites in central and northern Amazonia, Brazil. Mean \pm standard deviation with range
20 given in parentheses. Mean annual temperature is 26-28°C in all sites. Dry season length is the
21 number of consecutive months with mean precipitation less than 100 mm. Climatic and
22 geomorphological data from RADAMBRASIL (1978) and Sombroek (2001).

Viruá	Uatumã	BDFFP	BR-319
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Location	1.45°N, 61.00°W	1.80°S, 59.25°W	2.40°S, 59.85°W	4.40°S, 60.95°W
Mean annual precipitation	2300 mm	2370 mm	2200 mm	2200 mm
Altitude (m above sea level)	40–340	70–200	70–160	30–50
Plots	30	30	38	11
Species/plot (α)	2±1.7 (0 - 6)	13.7±6.2 (4 - 27)	8.7±4.3 (2 - 20)	4.3±1.3 (2 - 6)
Total species (γ)	32	62	33	9
Compositional units (β)	16.0	4.5	3.8	2.1
Clay content (%)	19.8±16.8 (0.5 – 57.8)	45.3±22.9 (16.5 - 85.5)	45.7±27.5 (5.7 - 81.9)	24.6±4.9 (16.5 - 32.5)
Silt content (%)	17.5±5.9 (4.8 – 32.3)	15.5±10.4 (7.7 - 62.5)	4.4±2.3 (0.31 - 10.0)	57.2±7.7 (47.9 - 70.6)
Ca+K+Mg (cmol(+)/kg)	0.35±0.23 (0.14 – 1.17)	0.9±1.2 (0.16 - 5.68)	0.46±0.2 (0.2 - 1.0)	0.25±0.1 (0.14 – 0.42)
Dry season length (months)	5	0	0	1 to 2
Canopy	13.8±19.05	4.4±0.72	6.2±1.1	4.5±0.9
Openness	(4.4 – 71.1)	(2.9 - 5.9)	(7.4 - 4.2)	(3.2 – 5.7)

1 Table 2. Results from multivariate multiple regression analyses of fern and lycophyte plots in central and northern Brazilian
 2 Amazonia. An ordination axis from principal coordinates analysis (PCoA1 or PCoA2) is the dependent variable in each case.
 3 Compositional dissimilarities were quantified with the Sørensen or extended Sørensen dissimilarity in the full dataset of 102 plots and
 4 in each of four sites separately. Adjusted regression coefficients (with associated probabilities between brackets) and Pillai-Trace
 5 probabilities for the whole model are given.

		Variance Captured by the ordination axis		Clay content (%)	Sum of bases content (log)	Canopy openness	Dry season length	Adjusted R ²
All sites	Sorensen index	PCoA1	15.2%	0.394 (0.000)	-0.369 (0.000)	-0.231 (0.000)	-0.529 (0.000)	0.73
		PCoA2	14.0%	0.060 (0.536)	-0.466 (0.000)	-0.150 (0.106)	0.304 (0.003)	0.30
		Pillai-Trace		0.000	0.000	0.000	0.000	
Extended Sorensen index		PCoA1	29.5%	0.112 (0.052)	-0.481 (0.000)	0.403 (0.000)	0.389 (0.000)	0.76
		PCoA2	12.6%	-0.204 (0.007)	-0.010 (0.880)	0.684 (0.000)	-0.736 (0.000)	0.59
		Pillai-Trace		0.007	0.000	0.000	0.000	
Vuruá	Sorensen index	PCoA1	25.0%	0.330 (0.086)	-0.229 (0.189)	-0.588 (0.003)		47.7
		PCoA2	18.4%	0.211 (0.316)	-0.420 (0.037)	0.494 (0.019)		34.2
		Pillai-Trace		0.237	0.119	0.000		
Extended Sorensen index		PCoA1	61.1%	-0.147 (0.314)	-0.125 (0.354)	0.708 (0.000)		68.2
		PCoA2	18.0%	-0.274 (0.254)	0.569 (0.015)	-0.001 (0.996)		14.8
		Pillai-Trace		0.401	0.025	0.000		
Uat	Sorensen index	PCoA1	31.5%	0.274 (0.008)	-0.670 (0.000)	0.322 (0.002)		0.81
		PCoA2	16.9%	-0.582 (0.000)	-0.724 (0.000)	-0.140 (0.260)		0.66
		Pillai-Trace		0.001	0.000	0.006		
Extended Sorensen index		PCoA1	35.3%	0.083 (0.341)	-0.802 (0.000)	0.348 (0.000)		0.85
		PCoA2	17.7%	0.623 (0.000)	0.512 (0.001)	0.186 (0.203)		0.54
		Pillai-Trace		0.002	0.000	0.001		
BDFFP	Sorensen index	PCoA1	22.0%	0.308 (0.126)	0.242 (0.225)	-0.133 (0.379)		0.21
		PCoA2	18.8%	-0.660 (0.001)	0.086 (0.640)	0.025 (0.856)		0.32
		Pillai-Trace		0.000	0.482	0.558		
Extended Sorensen index		PCoA1	22.7%	-0.205 (0.365)	0.297 (0.191)	-0.101 (0.557)		0.00
		PCoA2	18.7%	-0.713 (0.000)	-0.044 (0.774)	0.094 (0.418)		0.53
		Pillai-Trace		0.000	0.411	0.608		
BR-319	Sorensen index	PCoA1	43.5%	0.309 (0.381)	-0.339 (0.375)	-0.309 (0.411)		0.00
		PCoA2	24.0%	0.706 (0.029)	0.474 (0.133)	0.215 (0.460)		0.37
		Pillai-Trace		0.075	0.313	0.630		
Extended Sorensen index		PCoA1	40.0%	0.281 (0.422)	-0.369 (0.335)	-0.323 (0.390)		0.00
		PCoA2	17.2%	0.737 (0.020)	0.472 (0.120)	0.224 (0.423)		0.42
		Pillai-Trace		0.061	0.280	0.590		

1 Table 3. Simple and partial Mantel tests between compositional dissimilarities of ferns and
 2 lycophytes, log-transformed geographical distances and dry season length in 104 plots in central
 3 and northern Amazonia, Brazil. Statistical significance of the correlation estimated with Monte
 4 Carlo permutation using 1000 permutations.

	Index of floristic dissimilarity	
	Sørensen	Extended Sørensen
Log(Geographic distance)	0.53***	0.36***
Dry season length	0.52***	0.41***
Log(Geographic distance), with dry season length partialled out	0.18***	0.01
Dry season length with log(geographic distance) partialled out	0.13***	0.19***

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1 APPENDIX

2 The following supplementary material is available for this article

3 Table S1: List of fern and lycophyte species found in 109 plots in four regions within central and
 4 northern Amazonia, Brazil. Frequency is the number of sites or plots in which a species was
 5 observed. Epiphytic individuals were not included in the inventory, but terrestrial individuals of
 6 mostly epiphytic species were.

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Family	Species	Main habit	Frequency (sites)	Frequency (plots)
Aspleniaceae	<i>Asplenium laetum</i> Sw.	Terrestrial/rupicolous	2	6
	<i>Asplenium serratum</i> L.	Epiphytic	1	1
	<i>Asplenium stuebelianum</i> Hieron.	Terrestrial/rupicolous/ epiphytic	1	1
Blechnaceae	<i>Salpichlaena hookeriana</i> (Kunze) Alston	Terrestrial (twining leaves)	1	2
	<i>Salpichlaena volubilis</i> (Kaulf.) J. Sm.	Terrestrial (twining leaves)	1	1
Cyatheaceae	<i>Cyathea microdonta</i> (Desv.) Domin	Terrestrial	1	6
	<i>Cyathea pungens</i> Domin	Terrestrial	1	8
	<i>Cyathea</i> sp. A	Terrestrial	1	2
	<i>Cyathea</i> sp. B	Terrestrial	1	1
Dryopteridaceae	<i>Bolbitis semipinnatifida</i> (Fée) Alston	Terrestrial/rupicolous	2	4
	<i>Cyclodium guianense</i> (Klotzsch) L. D. Gómez	Terrestrial/rupicolous	1	2
	<i>Cyclodium meniscioides</i> (Willd.) C. Presl	Terrestrial/climbing	2	12
	<i>Mickelia guianensis</i> (Aubl.) R. C. Moran et al.	Terrestrial/climbing	1	14
	<i>Mickelia lindigii</i> (Mett.) R. C. Moran et al.	Terrestrial/climbing	1	1
	<i>Mickelia nicotianifolia</i> (Sw.) R. C. Moran et al.	Terrestrial/rupicolous/ climbing	1	2
	<i>Polybotrya caudata</i> Kunze	Terrestrial/climbing	1	11
	<i>Polybotrya sessilisora</i> R. C. Moran	Terrestrial/climbing	2	25
Hymenophyllaceae	<i>Davalliopsis elegans</i> (Rich.) Copel.	Terrestrial	2	7
	<i>Trichomanes cellulolum</i> Klotzsch	Terrestrial	1	5
	<i>Trichomanes cristatum</i> Kaulf.	Terrestrial	1	1
	<i>Trichomanes diversifrons</i> (Bory) Mett. ex Sadeb	Terrestrial	1	2
	<i>Trichomanes martiusii</i> C. Presl	Terrestrial	3	6
	<i>Trichomanes pinnatum</i> Hedw.	Terrestrial	4	81
	<i>Trichomanes trollii</i> Bergdolt	Terrestrial	1	3
	<i>Trichomanes vittaria</i> DC ex Poir.	Terrestrial	2	7
<i>Vandeboschia radicans</i> (Sw.) Copel.	Climbing	1	1	

Lindsaeaceae	<i>Lindsaea divaricata</i> Klotzsch	Terrestrial	2	3
	<i>Lindsaea dubia</i> Spreng.	Terrestrial	1	6
	<i>Lindsaea falcata</i> Dryand.	Terrestrial	3	44
	<i>Lindsaea guianensis</i> (Aubl.) Dryand.	Terrestrial	3	9
	<i>Lindsaea lancea</i> var. <i>elatior</i> (Kunze) K.U.Kramer	Terrestrial	1	1
	<i>Lindsaea lancea</i> var. <i>lancea</i> (L.) Bedd.	Terrestrial	4	55
	<i>Lindsaea portoricensis</i> Desv.	Terrestrial	1	1
	<i>Lindsaea</i> sp. A	Terrestrial	1	1
	<i>Lindsaea</i> sp. B	Terrestrial	1	7
<i>Lindsaea</i> sp. C	Terrestrial	1	1	
Lomariopsidaceae	<i>Cycopeltis semicordata</i> (Sw.) J. Sm.	Terrestrial	1	1
	<i>Lomariopsis japurensis</i> (Mart.) J. Sm.	Terrestrial/climbing	1	20
	<i>Lomariopsis prieuriana</i> Fée	Terrestrial/climbing	3	50
	<i>Nephrolepis rivularis</i> (Vahl) Mett. ex Krug	Epiphytic	1	2
Marattiaceae	<i>Danaea leprieurii</i> Kunze	Terrestrial	2	8
	<i>Danaea nodosa</i> (L.) Sm.	Terrestrial	1	4
	<i>Danaea trifoliata</i> Kunze	Terrestrial	2	16
Metaxyaceae	<i>Metaxya rostrata</i> C. Presl	Terrestrial	3	20
Polypodiaceae	<i>Campyloneurum repens</i> (Aubl.) C. Presl	Epiphytic	1	6
	<i>Microgramma persicariifolia</i> C. Presl	Epiphytic	1	2
Pteridaceae	<i>Adiantopsis radiata</i> (L.) Fée	Terrestrial	1	1
	<i>Adiantum argutum</i> Splitg.	Terrestrial	1	8
	<i>Adiantum cajennense</i> Willd.	Terrestrial	3	54
	<i>Adiantum cinnamomeum</i> Lellinger & J. Prado	Terrestrial	1	3
	<i>Adiantum dolosum</i> Kunze	Terrestrial	1	3
	<i>Adiantum glaucenscens</i> Klotzsch	Terrestrial	2	5
	<i>Adiantum humile</i> Kunze	Terrestrial	1	7
	<i>Adiantum latifolium</i> Lam.	Terrestrial	1	1
	<i>Adiantum latifolium</i> Xobliquum	Terrestrial	1	2
	<i>Adiantum lucidum</i> (Cav.) Sw.	Terrestrial	1	1
	<i>Adiantum multisorum</i> Sampaio	Terrestrial	1	2
	<i>Adiantum obliquum</i> Willd.	Terrestrial	2	15
	<i>Adiantum paraense</i> Hieron.	Terrestrial	3	30
	<i>Adiantum petiolatum</i> Desv.	Terrestrial	2	4
	<i>Adiantum pulverulentum</i> L.	Terrestrial	2	3
	<i>Adiantum terminatum</i> Kunze ex Miq.	Terrestrial	2	14
	<i>Adiantum tomentosum</i> Klotzsch	Terrestrial	2	14
	<i>Adiantum</i> sp. A	Terrestrial	1	1
	<i>Adiantum</i> sp. B	Terrestrial	1	1
	<i>Pityrogramma calomelanos</i> (L.) Link	Terrestrial	1	1
<i>Pteris pungens</i> Willd.	Terrestrial	1	1	
<i>Pteris tripartita</i> Sw.	Terrestrial	1	1	

Saccolomataceae	Saccoloma inaequale (Kunze) Mett.	Terrestrial	2	8
Schizaeaceae	Actinostachys pennula Hook.	Terrestrial	1	5
	Lygodium volubile Sw.	Terrestrial (twining leaves)	2	6
	Schizaea elegans (Vahl) Sw.	Terrestrial	3	5
	Schizaea incurvata Schkuhr	Terrestrial	1	1
Selaginellaceae	Selaginella amazonica Spring	Terrestrial	1	5
	Selaginella asperula Spring	Terrestrial	1	1
	Selaginella breynii Spring	Terrestrial	2	3
	Selaginella palmiformis Alston ex Crabbe & Jermy	Terrestrial	1	1
	Selaginella parkeri (Hook. & Grev.) Spring	Terrestrial	1	8
	Selaginella pedata Klotzsch	Terrestrial	2	51
	Selaginella sp. A	Terrestrial	1	1
Tectariaceae	Tectaria incisa Cav.	Terrestrial	1	6
	Triplophyllum crassifolium Holtum	Terrestrial	1	12
	Triplophyllum dicksonioides (Fée) Holtum	Terrestrial	2	31
	Triplophyllum funestum (Kunze) Holtum	Terrestrial	2	29
	Triplophyllum glabrum J. Prado & R. C. Moran	Terrestrial	1	6
	Triplophyllum hirsutum (Holtum) J. Prado & R. C. Moran	Terrestrial	2	16
	Triplophyllum sp. A	Terrestrial	1	2
Thelypteridaceae	Thelypteris abrupta (Desv.) Proctor	Terrestrial	1	5
	Thelypteris macrophylla (Kunze) C. V. Morton	Terrestrial	1	1
	Thelypteris poiteana (Bory) Proctor	Terrestrial/rupicolous	1	3
Woodsiaceae	Diplazium cristatum (Desr.) Alston	Terrestrial	1	1
	Diplazium grandifolium (Sw.) Sw.	Terrestrial	1	1

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1 FIGURE LEGENDS

2 Figure 1. Location of the four study sites and 109 plots (black dots) in central and northern
3 Amazonia, Brazil. The dots indicating plot locations are scaled to reflect mean cation
4 concentration (sum of exchangeable bases, Ca+K+Mg) in soil samples taken from the plots.
5 Black lines are boundaries between countries or states and gray lines represent rivers.

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7 Figure 2. Occurrence of fern and lycophyte species along the soil cation concentration gradient
8 in 104 plots in central and northern Amazonia, Brazil. The plots are listed in the order of
9 increasing mean cation concentration, and the species in the order of decreasing mean cation
10 concentration in the plots where the species was observed. Note that the rate of change in cation
11 concentration along the x axis is not constant, as there are many plots with relatively similar
12 intermediate cation concentrations. The 50 species presented were randomly chosen from the 92
13 observed species. For a full list of species, see Table S1 in Appendix 1.

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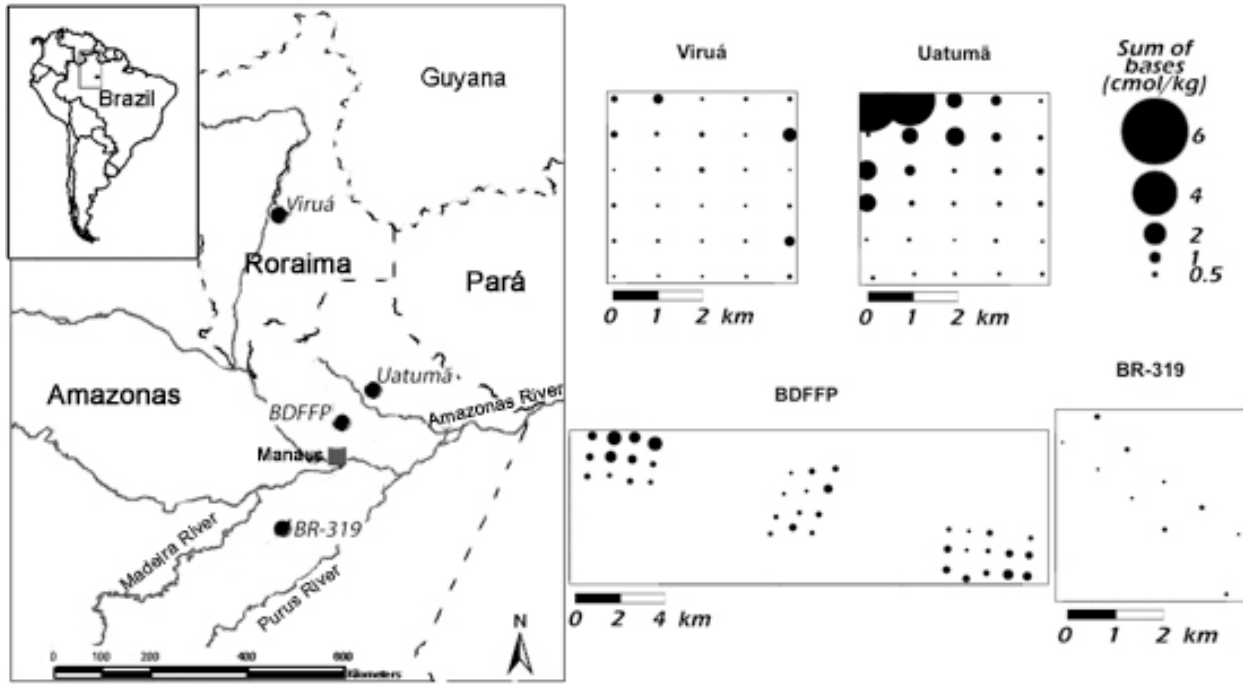
15 Figure 3. Ordination (PCoA) diagrams of 104 2 m x 250 m plots in central and northern
16 Amazonia, Brazil, based on the species composition of ferns and lycophytes. Panels A) and F)
17 show ordination of all plots from all sites. Other panels show plots from one site each. A–E)
18 Ordination based on the classical Sørensen index (Class); F–J) Ordination based on the extended
19 Sørensen index (XD). Dot sizes according to the values of the main environmental gradient
20 related to the first two axes of PCoA: Ln(cation concentration) in All sites and Uatumã; % of
21 Canopy openness in Viruá and % of Clay content in BDFFP and BR 319. For the range of the
22 environmental variables, see Table 1. The variances explained by each axis are given in Table 2.

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1 Figure 4. Relative contributions of different factors to explaining variation in species turnover of
2 ferns and lycophytes in 102 plots from central and northern Amazonia. The diagrams show
3 results of multiple regression on dissimilarity matrices, where the variance of the compositional
4 dissimilarity matrix is partitioned into fractions uniquely and jointly explained by environmental
5 and geographical distances. Explanatory variables that had statistically significant contributions
6 after backward elimination are listed to the right of each diagram. *Geog. dist.* is the matrix of
7 log-transformed geographical distances, and the other explanatory distance matrices are based on
8 canopy openness (*canopy*), log-transformed concentration of exchangeable bases (*cation*), clay
9 content (*clay*), and dry season length (*dry season*). The fractions of variance in the floristic
10 dissimilarity matrix are as follows: Black = uniquely explained by the environmental distances;
11 dark gray = jointly explained by the environmental and geographical distances; white = uniquely
12 explained by the geographical distances; light gray = unexplained by the available variables.

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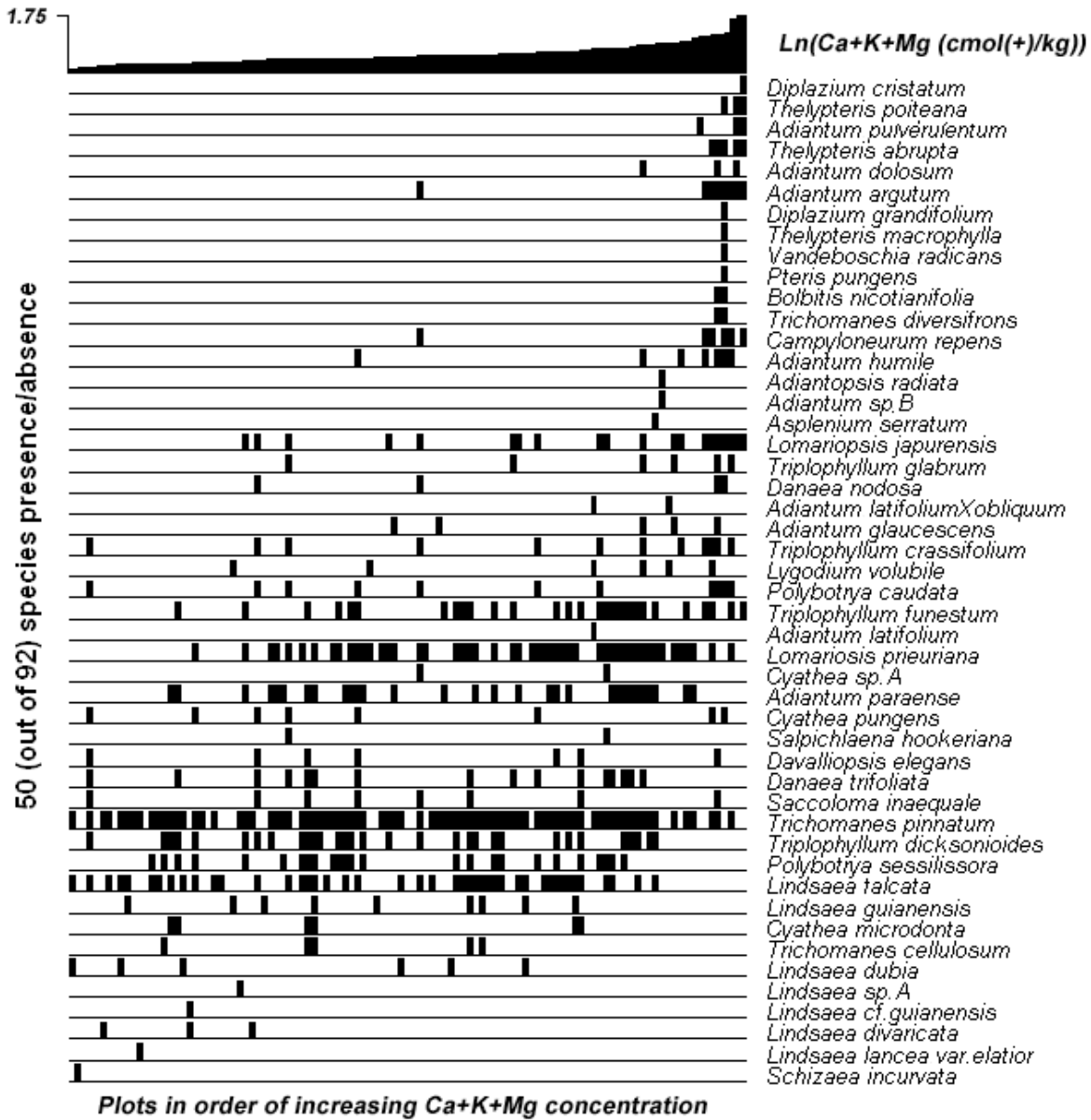
1 Figure 1



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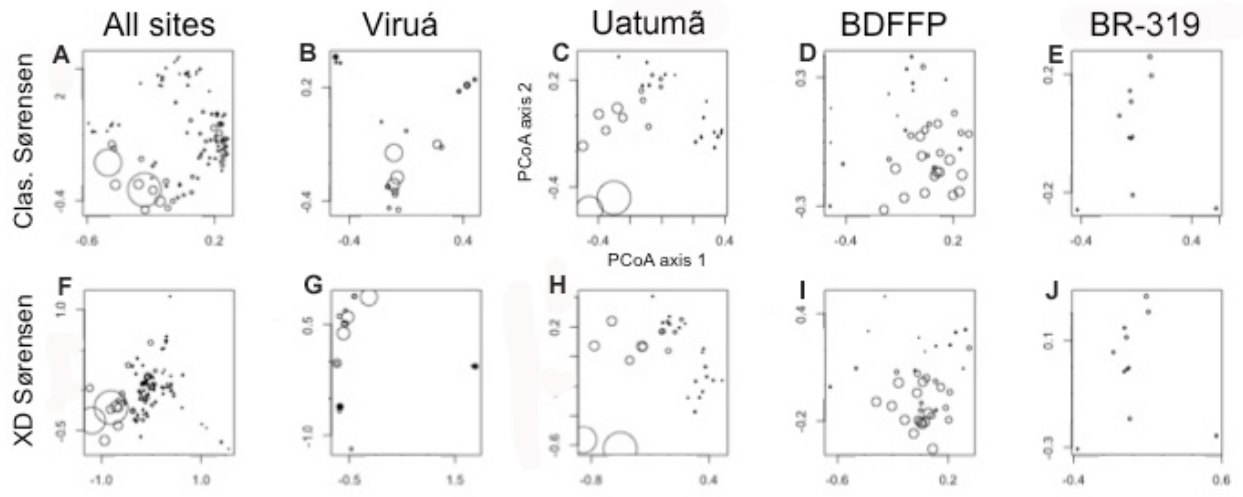
1 Figure 2

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1 Figure 3



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1 Figure 4

