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Research Article

Adult-onset encephalitis over two decades in easternmost Finland

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Short Title: Adult encephalitis in eastern Finland

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Keywords: autoimmune, encephalitis, epidemiology, incidence, virus

1 **Abstract**

2 **Introduction:** The epidemiology of encephalitis varies by region and time. Available Finnish data are
3 outdated and there are no data from eastern parts of the country nor concerning the occurrence
4 autoimmune encephalitides.

5 **Material and methods:** Patients with encephalitis were identified from mandatory administrative
6 registries in North Karelia Central Hospital. The diagnoses were verified, and data extracted by
7 reviewing the patient records. Study period was 2010-2021. Only patients >16 years of age were
8 included.

9 **Results:** 51 patients with a clinical encephalitis were identified (55 % men) identified with a median
10 age of 65 years [interquartile range (IQR) 45, 73; total age range 16-88 years] indicating a crude
11 incidence of 3.1/100,000 person-years for the entire study period. A specific aetiology could be
12 identified in 31 cases (61 %) with Tick-borne encephalitis (TBE) being the most common one (20 % of
13 all 51 cases), followed by Herpes simplex virus type 1 (HSV-1, 16 %) and Varicella Zoster virus (VZV,
14 14 %). Autoimmune aetiology was confirmed in 10 %. TBE was most often found in the youngest age
15 group (16-52 years of age) and the herpes viruses in the oldest group (71 years or older). A specific
16 cause was most often identified in the oldest patients (78 %). TBE patients were younger than
17 patients with VZV ($p=0.0009$) or HSV-1 ($p=0.0057$) but there was no difference when they were
18 compared to patients with autoimmune ($p=0.27$) or unknown ($p=0.074$) aetiology.

19 At presentation, there were differences in the occurrence of some clinical signs and symptoms
20 between aetiologies but nothing specific. Eight patients (16 %) were immunosuppressed. Inpatient
21 seizures occurred in 10 patients (20 %). In these cases, the etiology was HSV-1 in 50 % and TBE or VZV
22 in none. A full recovery was observed in 51 % of all patients while three patients (6 %) had died of the
23 encephalitis while in hospital or shortly after discharge.

24 **Conclusions:** Adult-onset encephalitis was more common and the patients older in easternmost
25 Finland than previously reported in other parts of the country. TBE, HSV-1 and VZV are the most
26 commonly identified specific aetiologies whereas a fifth of the cases are probably caused by
27 autoimmunity. Prognosis depended on aetiology but was very good in the majority of cases

28 **Introduction**

29 Globally, encephalitis causes as much years lived with disability as Multiple Sclerosis or melanoma
30 and leukemia combined [1]. However, its burden varies at regional and national levels and appears to
31 be constantly changing, probably because of changes in population structures and the climate.

32 Nevertheless, the disability burden caused by encephalitis has remained stable between 1990 and
33 2019 in Western Europe [2]. The increase in arthropod-borne viruses, such as tick-borne encephalitis
34 (TBE), has therefore either not occurred or at least not led to considerable increase in disability.

35 Proven efficacy of the vaccines available against TBE may have contributed to this [3]. Alternatively,
36 disability caused by other etiologies may have decreased. Increased knowledge about autoimmune
37 encephalitides and how to treat them during the last 20 years may have contributed to this [4].

38 These disorders appear to be as common as infectious encephalitides and they often respond to
39 immunotherapy which improves outcomes, especially when started early.

40 Previous Finnish data have documented the shift from TBE in the early 1960's to Herpes Simplex
41 Virus type 1 (HSV-1) as the most often identified etiology of encephalitis from late 1960's at least up
42 to 1991 in the southern part of the country [5, 6]. Moreover, TBE had virtually disappeared as a cause
43 of encephalitis [6]. On the other hand, in national data from 1995-1996 Varicella Zoster Virus (VZV)
44 was by far the most common identified cause of encephalitis with a threefold number of cases
45 compared to HSV-1 [7]. VZV was the most common identified cause (12 %) also in 1999-2003 data
46 from southwestern Finland, but HSV-1 and TBE were nearly as common (both 9 %) [8]. No data are
47 available after that, except for a paper in Finnish reporting on TBE in 2010-2012, which showed a
48 marked increase of annual cases nationally when comparing the situation to that of two decades
49 earlier [9].

50 In addition to this national paucity of data concerning the recent decades, there are no data available
51 at all on encephalitis in easternmost parts of Finland. There are also no data on the epidemiology of
52 autoimmune encephalitides in Finland. This study was devised to provide these data.

53

54 **Methods**

55 People admitted to or discharged from North Karelia Central Hospital, a non-academic facility that is
56 the sole provider of acute neurological care in this area for circa 140,000 adults, with a diagnostic
57 code indicating encephalitis (International Classification of Diseases, 10th version: A83.X

58 A84.X, A85.X, A87.9, A92.2, G04.X, G05.X) in 2010-2021 were identified from the hospital registries
59 (N=154). Clinical diagnosis of encephalitis was used as the inclusion criterion [10]. Electronic patient

60 charts were used to confirm the diagnoses and extract clinical data. Patients younger than 16 years
61 of age were excluded. For age group analyses, the data were divided into three categories of equal
62 size: 16-52 years of age, 55-70 years of age and those 71 or older. Recovery from encephalitis was
63 evaluated with the Glasgow Outcome Scale (GOS) at the end of follow-up or when another chronic
64 condition manifested, whichever took place first. The total person years at risk for this population
65 was calculated using the annual numbers of persons at least 16 years of age living in the area
66 according to the open database of Statistics Finland
67 (https://statfin.stat.fi/PxWeb/pxweb/fi/StatFin/StatFin__vaerak/statfin_vaerak_pxt_11re.px/).

68 Shapiro-Wilk and Kolmogorov-Smirnov tests were used to analyse age distribution and unpaired t-
69 test or Independent-Samples Mann-Whitney U Test utilized accordingly. Analyses were conducted
70 using SPSS Statistics, version 29 and Graphpad Prism, version 10.0.3.

71

72 **Results**

73 Altogether 51 local persons (55 % men) with an encephalitis were identified with a median age of 65
74 years [interquartile range (IQR) 45, 73; total age range 16-88 years; $p=0.20$ for difference between
75 sexes] indicating a crude incidence of 3.1/100,000 person-years for the entire 12-year study period
76 (Fig. 1).

77 Aetiology was suspected to be infectious in 59 %, autoimmune in 21 % and remained totally
78 unknown in 20 %. A specific aetiology could be identified in 31 cases (61 %) with TBE (20 % of all 51
79 cases, crude incidence 0.5/100,000) being the most common one, closely followed by HSV-1 (16 %,
80 crude incidence 0.4/100,000) and VZV (14 %, crude incidence 0.6/100,000) (table 1). Autoimmune
81 aetiology was confirmed in 10 % (one case each of NMDAR, LGI1 and SEZ6L2 encephalitis in addition
82 to two cases of paraneoplastic encephalitis of which one manifested several autoantibodies and the
83 other none that could be identified), yielding a crude incidence of 0.3/100,000. Syphilis was
84 identified in one case and grouped as “other” along with the unidentified cases in Figure 1 and table
85 1.

86

Aetiology	Age group			
	16-52 years	55-70 years	71-88 years	All
<i>TBE</i>	35 %	19 %	6 %	20 %
<i>HSV-1</i>	6 %	6 %	33 %	16 %
<i>VZV</i>	0 %	13 %	28 %	14 %
<i>Autoimmune</i>	12 %	6 %	11 %	10 %
<i>Other/unknown</i>	47 %	56 %	22 %	41 %

87 **Table 1.** The proportions of specific aetiologies by age group. HSV-1, Herpes simplex virus type 1;
88 TBE, Tick Borne Encephalitis virus; VZV, Varicella Zoster virus.

89

90 TBE was most often found in the youngest age group and the herpes viruses in the oldest group while
91 autoimmune causes did not show any preference according to age (table 1). A specific cause was
92 most often identified in the oldest patients (78 % of cases). TBE patients were younger than patients
93 with VZV or HSV-1 (Fig, 2) but there was no difference when they were compared to patients with
94 autoimmune ($p=0.27$) or unknown ($p=0.074$) aetiology.

95 At presentation, all TBE patients had a fever and the majority also a headache whereas lethargy and
96 seizures were most common with HSV-1 and change in behaviour with VZV (table 2). In the patients
97 whose aetiology remained unknown, a change in behaviour was the most often recorded clinical
98 finding but it was still far less common than in VZV. Eight patients (16 %) were immunosuppressed
99 (of which 63 % in the oldest age group) with HSV-1, VZV and paraneoplastic encephalitis each
100 diagnosed in two cases and two remaining of unknown aetiology.

	GCS	Fever	Headache	Lethargy	Seizure	Change in behaviour	Focal neurological findings
<i>TBE</i>	15 (13.75, 15)	100 %	70 %	38 %	10 %	30 %	30 %
<i>HSV1</i>	14 (13, 15)	86 %	17 %	71 %	38 %	38 %	13 %

VZV	14 (14, 15)	71 %	14 %	43 %	0 %	100 %	29 %
<i>Unknown</i>	14 (13.25 , 14)	35 %	25 %	40 %	16 %	53 %	25 %

101 **Table 2.** Clinical signs and symptoms recorded at presentation in the three most common specific
102 aetiologies and in the cases in which the aetiology remained unknown. GCS is presented as median
103 (interquartile range). EEG, electroencephalogram; GCS, Glasgow Coma Scale; HSV-1, Herpes simplex
104 virus type 1; TBE, Tick Borne Encephalitis virus; VZV, Varicella Zoster virus.

105

106 Inpatient seizures occurred in 10 patients (20 %). In these cases, the etiology was HSV-1 in 50 % and
107 TBE or VZV in none. A full recovery was observed in 51 % of all patients while three patients (6 %)
108 had died of the encephalitis while in hospital or shortly after discharge (Fig. 3).

109

110 **Discussion**

111 This study showed that the incidence of adult-onset encephalitis was higher in the easternmost part
112 of Finland than previously reported in southern and southwestern parts of the country. A specific
113 aetiology could now be identified in almost two thirds of the patients with TBE common one, closely
114 followed by HSV-1 and VZV. Moreover, TBE has been mostly detected in last years of the study
115 period suggesting it is becoming more common. Autoimmune aetiologies account for a tenth of all
116 encephalitides in the region, although they had also been detected mostly in the last years of the
117 study period suggesting that improved diagnostic methods may uncover these diseases in the future.
118 The most common aetiologies showed some distinct differences in presentation and the outcome,
119 although usually favourable, also differed between them.

120 Data from the southern Helsinki area covering the years 1945-1963 reported that, in 108 clinical
121 cases of encephalitis, a specific aetiology could be identified in 40 % with TBE and mumps being the
122 most common ones (approximately 10 % each) [11]. However, in these data 45 % of the patients
123 were <15 years of age. Shortly thereafter HSV replaced TBE as the most common identified aetiology
124 and between 1967 and 1991 TBE virtually disappeared as a cause of encephalitis in the area with only

125 five identified cases during that period among 322 adult patients with encephalitis (an average 1967-
126 1991 incidence of adult-onset acute encephalitis in Uusimaa region: 1.4/100,000) [5, 6]. During that
127 period, the proportion of patients with an identified aetiology increased from 36 % to 59 % with HSV
128 becoming the most commonly detected culprit (16% of all and 33% of the known etiologies) followed
129 by VZV, mumps, influenza A, and enteroviruses. Interestingly, in that data the number of HSV cases
130 was over three times that of VZV cases whereas in national data covering 1995-1996 VZV was three
131 times as common as HSV [7]. However, the national data included patients of all ages. At the turn of
132 the millennium, an adult-onset encephalitis incidence of 2.2/100,000 was reported for southwestern
133 Finland [8]. In that study, a specific aetiology could be identified in 36 % of all 42 encephalitis cases
134 with VZV being the most common one and closely followed by HSV-1 and TBE with a proportion of
135 approximately 10 % of all cases each. National data also show that the number of TBE cases
136 increased from 5-20/year in 1995-1999 to 25-45/year in 2008-2012 [9]. Moreover, in every year from
137 2005 onwards, the majority of cases had been diagnosed outside the traditional hotspot of Åland
138 archipelago. These developments are not surprising considering that TBE has become more common
139 at least in Europe and Asia and in Finland tick contact areas have expanded over the past 60 years
140 with observations becoming more common also in in eastern Finland [12-15]. The majority of
141 previous studies have globally reported HSV as the most frequent viral pathogen, followed by VZV in
142 several studies. However, regional differences have been reported, especially in case of vector-borne
143 transmission [16]. It is apparent that the incidence and causes of encephalitis vary by time and region
144 and seems possible that TBE is once again becoming the most common cause of encephalitis in
145 Finland. However, comprehensive aetiological data from other areas are definitely needed before
146 any firm conclusions can be made. Differences in population age structures probably also affect the
147 proportions of different aetiologies, as was also evident in our data where TBE most commonly
148 occurred in the youngest age group and herpes viruses were most often found in the eldest patients.
149 Improved methods of diagnosing both herpes viruses and autoimmune aetiologies may also affect
150 the results. However, these data suggest that in a great majority in Finland, adult-onset encephalitis
151 is caused by infectious agents and the proportion of autoimmune aetiologies may be approximately
152 one fifth. In the light of previous data, this allotment appears credible [10]. Interestingly, the current
153 cohort was considerably older than the adult-onset cohorts previously reported from southern and
154 southwestern Finland [6, 8].

155 Some clinical signs and symptoms were more common in some aetiologies compared to others but
156 no constellation specific to any aetiology emerged. Specific diagnosis therefore still relies on
157 laboratory studies, which fortunately are increasingly available. At the beginning of the study period,
158 diagnostic methods available for aetiological diagnosis at our institution were serological testing from

159 serum and cerebrospinal fluid (CSF). During the study period, polymerase chain reaction (PCR) testing
160 for infectious pathogens from the CSF became available and increasingly comprehensive with, for
161 example, generic PCR for herpes viruses or bacteria available at the end of the period.

162 Consequently, serological testing was abandoned during the study period because its results were
163 not deemed to have consequences for clinical care.

164 Testing for autoimmune antibodies in serum and CSF also became available during the period with
165 comprehensive panels clinically routinely utilized during the last years of the period. Specific
166 autoimmune aetiologies were probably therefore identified mostly in 2018-2021 and the current
167 results concerning the epidemiology of specific autoantibodies should therefore be regarded as
168 preliminary. Indeed, autoimmune aetiology had been confirmed in only 10 % of the current cases but
169 suspected in 21 %, which would have yielded a crude incidence of 0.6/100,000 if all had been
170 confirmed. However, this remains a far cry from the 1.2/100,000 reported for Olmsted county, MN,
171 USA figures [17]. On the other hand, those data showed a four-fold incidence of autoimmune
172 encephalitis among African-Americans compared to Caucasians. Considering that the population of
173 North Karelia is nearly entirely Caucasian, this difference in ethnic backgrounds might explain the
174 difference in incidence. Indeed, recent data from Sweden suggest an annual incidence of 0.3/100,000
175 person-years for autoimmune encephalitis and paraneoplastic neurological syndrome with a
176 tendency to increase during the study period (2015-2019) [18]. Recent data from Denmark show an
177 incidence of 0.17/100,000 for NMDAR encephalitis alone [19], suggesting that 0.3 certainly is too low
178 and 0.6/100,000 is more likely to reflect true occurrence rate.

179 In the current data, the majority of patients had an excellent prognosis. Mortality was within the
180 limits considered currently normal [10]. Interestingly, these proportions were nearly identical to
181 those reported for the 1967-1991 Uusimaa data [6]. This probably not only reflects the lack of
182 advances in treating infectious encephalitis in the intervening decades but also the difference in age
183 structures of the cohorts: median age in the previous cohort was in the 25-34 years age group vs. 65
184 in the current data. Interestingly, none of the fatal cases in the current data had HSV-1, suggesting
185 that our low threshold for using acyclovir in suspected encephalitis is justifiable. The impact of
186 acyclovir was evident also in the previous data from Uusimaa [6]. Nevertheless, it must be
187 remembered that in rare cases acyclovir and valacyclovir can be neurotoxic [20].

188 Prognosis was worst with VZV suggesting that acyclovir does not benefit these patients as much as
189 those with HSV-1. Best outcomes were observed in the youngest aetiological group, those with TBE,
190 in which only 20 % had residual symptoms (mild to moderate). This appears a much better prognosis
191 compared to that recently reported from Germany [21]. This probably primarily reflects the fact that

192 prognosis in TBE clearly worsens with increasing age and in the German study 66 % of the included
193 adult patients were >50 years of age whereas 60 % of the TBE cases in the current cohort were <44
194 years of age. However, the retrospective nature of the current study must also be acknowledged
195 when comparing the results. Outcomes in the three non-paraneoplastic cases with autoantibodies
196 were fair to moderate with all patients responding to therapy, even when SEZ6L2 was the culprit
197 [22].

198 The main strength of the study is the complete coverage in the area. However, the retrospective
199 nature and long time period of the study mean that not only diagnostic methods but also the
200 workflows and resources available to care have changed during the period. The work-up has changed
201 to focus on treatable causes and dwindling resources could be seen to, for example, influence the
202 personnel available to admit the patient and the data available in the clinical notes. Naturally,
203 clinicians also differ in how they appraise and record these, leading to some variability in data
204 quality. Clinical needs also dictated what investigations, and when, had been performed on each
205 patient. Data on CSF and electroencephalogram results were therefore not analysed. Relying on
206 retrospective chart review also increases the possibility of under diagnosis.

207 In conclusion, adult-onset encephalitis was more common and the patients older in easternmost
208 Finland than previously reported in other parts of the country. TBE, HSV-1 and VZV are the most
209 commonly identified specific aetiologies whereas a fifth of the cases are probably caused by
210 autoimmunity. Prognosis depended on aetiology but was very good in the majority of cases.

211 **Statement of Ethics**

212 Please address the following aspects in your Statement of Ethics.

213 Study approval statement: This study was approved by the regional registry keeper Siun Sote
214 (). This was a retrospective register study, and thus no ethical board review or informed consent was
215 required, and the participants were not contacted. The legal basis for processing personal data is
216 public interest and scientific research (EU General Data Protection Regulation 2016/679, Article 6(1)€
217 and Article 9(2)(j); Data Protection Act, Sections 4 and 6).

218 Consent to participate statement: According to Finnish law, written informed consent was
219 not required because the patients were not contacted.

220

221 **Conflict of Interest Statement**

222 Jussi Sipilä has received honoraria (Terveystalo/Novartis), consultancy fees (Medaffcon/gmp-orphan,
223 Sandoz), travel grants and congress sponsorship (Lundbeck) and holds shares (Orion Corporation).

224

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226 This study was not supported by any sponsor or funder.

227

228 **Data Availability Statement**

229 The author is not permitted to disclose data to third parties. Requests to access the data set may be
230 sent to Siun Sote.

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Figure Legends

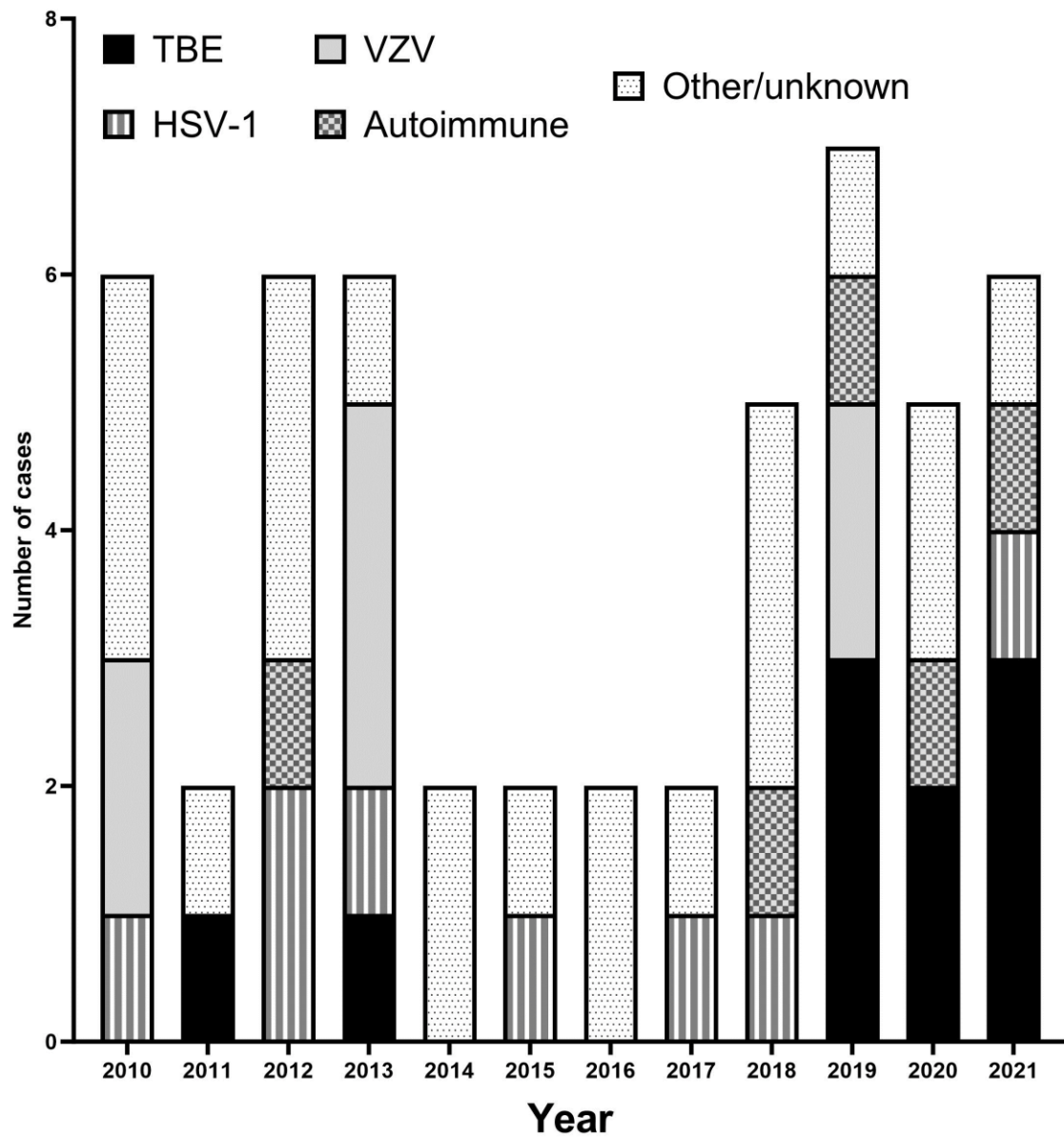


Fig. 1. The number of cases per specific aetiology according to year of admission. HSV-1, Herpes simplex virus type 1; TBE, Tick Borne Encephalitis virus; VZV, Varicella Zoster virus.

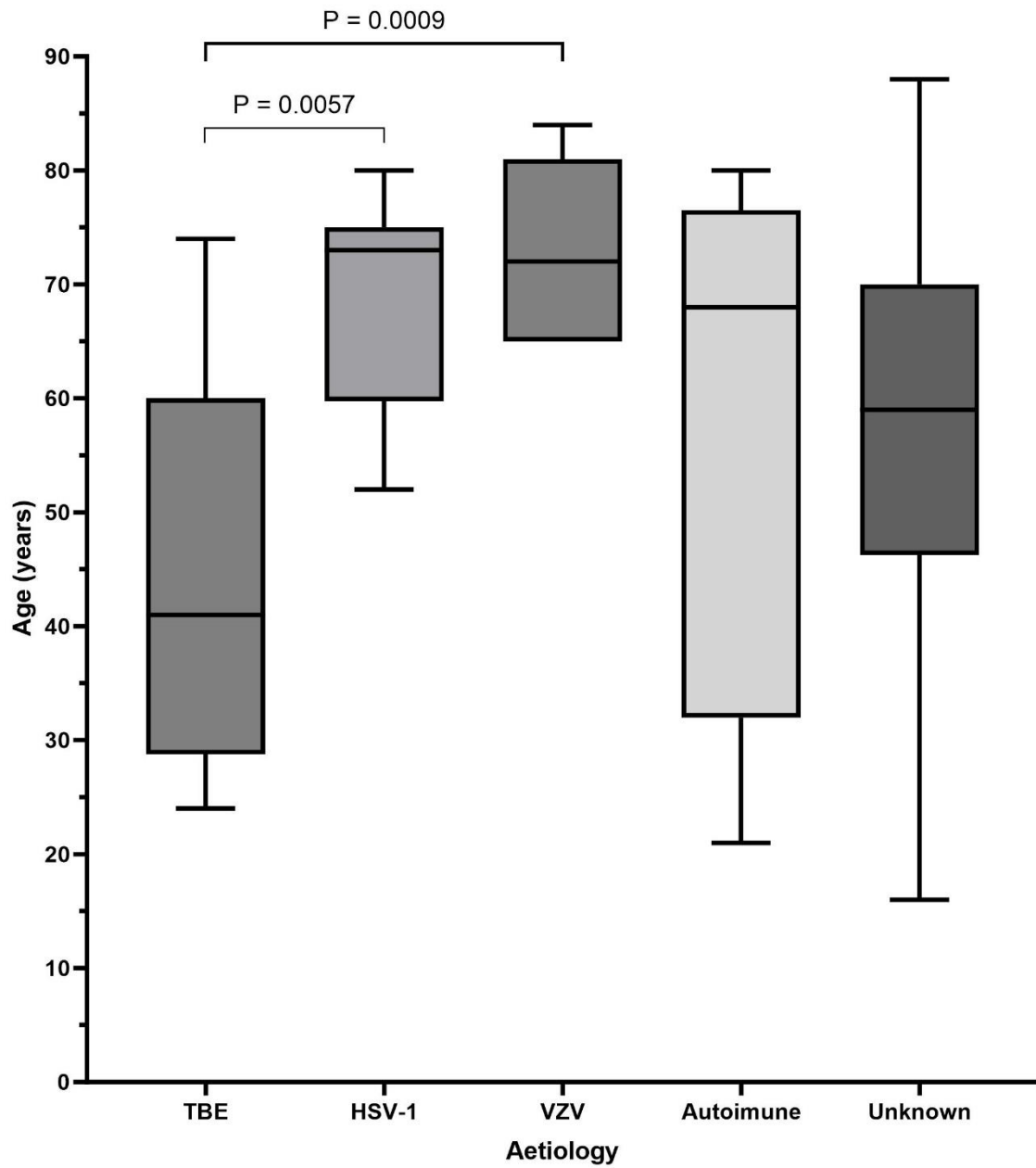


Fig. 2. Patient age according to encephalitis aetiology.

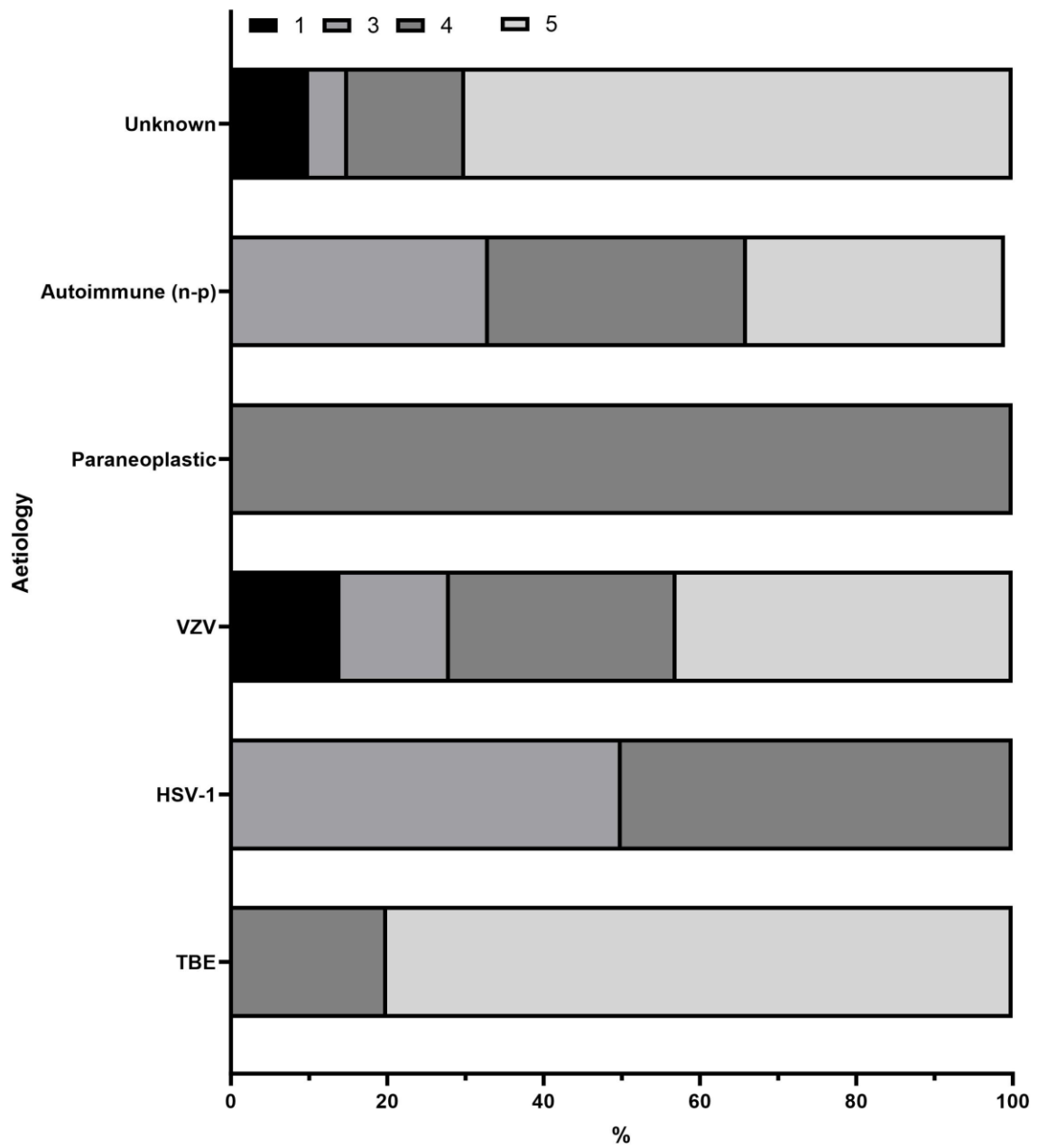


Fig. 3. Outcomes according to aetiology as Glasgow Outcome Scale scores. HSV-1, Herpes simplex virus type 1; n-p, non-paraneoplastic; TBE, Tick Borne Encephalitis virus; VZV, Varicella Zoster virus.