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AUTHOR: Juan Daniel Zuluaga, Emmanuel Pretti, Aude Leynaert, Elsa Marçon, Antoine Stier and Agnès Lewden

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20 **Abstract**

21 Penguins are among the most specialized thermoregulators on the planet, however,
22 the same adaptations that maximize heat retention underwater likely hinder heat dissipation on
23 land, possibly creating dangerous thermoregulatory challenges when encountering warming
24 terrestrial habitats. Penguins are subject to strictly terrestrial phases, such as moulting, when
25 metabolic heat production, insulation, and energetic constraints are heightened. We assessed
26 thermoregulation in moulting captive king penguins (*Aptenodytes patagonicus*) using
27 simultaneous measurements of core and surface temperatures to test two hypotheses. Under
28 the *thermal challenge* hypothesis, an initial rise in heat dissipation effort (i.e., increased
29 peripheral vasomotion) followed by a rise in core temperature would indicate failure to prevent
30 hyperthermia. Under the *warm-up* hypothesis, an initial rise of core temperature concomitant
31 or followed by an increase of peripheral vasomotion would indicate regulated hyperthermia,
32 possibly to accelerate feather development. Core and surface temperatures increased
33 drastically but concomitantly during moult, providing tentative support for the *warm-up*
34 hypothesis. Moulting penguins did not pant, suggesting that peripheral heat dissipation was
35 sufficient to regulate moulting-induced hyperthermia. Core and subcutaneous temperatures in
36 wild individuals resembled patterns measured in captivity, despite lower heat load and
37 additional options for behavioural thermoregulation. These results indicate that hyperthermia is
38 prevalent in moulting king penguins, and documenting the timing of temperature changes
39 provides novel insights for the moulting physiology of penguins. Because moulting-induced
40 hyperthermia may contribute to heat load, we caution that moulting may increase the
41 susceptibility of wild penguins to heat stress, especially as regions near the poles warm at a
42 disproportionately rapid rate.

43

44

45 Introduction

46 Maintaining thermal insulation is essential for endotherms to thrive in their natural
47 environments (1). Fur and feathers contribute to thermal insulation in most mammals and birds,
48 but these keratinaceous structures degrade through wear and breakage as they age. Thus, the
49 maintenance of proper insulation from fur or feathers through time requires their replacement
50 through shedding: a protracted, year-round replacement, or moulting: a contracted, punctuated
51 replacement (1). Feathers are unique among morphological traits due to the variety of functions
52 they serve, with major roles in crypsis, mate choice, species signalling, thermoregulation,
53 waterproofing, flight, and protection (2). Moulting is thus considered a key life-history stage
54 because it conditions the ability of individuals to survive and reproduce in their environment
55 (3).

56 Moulting has been well characterized in various avian models from a metabolic perspective.
57 Moulting requires a massive amount of protein because feathers are composed of approximately
58 90% protein and can represent or even exceed 10% of body mass and 25% of total body protein
59 content (4). Synthesizing new feathers also requires an increase in energy expenditure, with
60 moulting birds generally exhibiting a metabolic rate *ca.* 9-111% higher than non-moulting
61 individuals (4). From a thermoregulatory perspective, moulting has been less well characterized to
62 the best of our knowledge. The few studies available in birds suggest that an increase of *ca.* 20-
63 60% of thermal conductance occurs during moulting (5, 6), which may be explained by a decrease
64 in insulation and/or an increase in evaporative heat transfer due to increased body-water
65 turnover (4). Increases in thermal conductance have been shown to raise the lower critical
66 temperature in long-eared owls (*Asio otus*) from approximately 18°C to 24°C (7) and in emperor
67 penguins (*Aptenodytes forsteri*) from approximately -10°C to 0°C (8). Thus, moulting has mostly
68 been considered thermally challenging under cold environmental conditions. Alternatively, it
69 has been hypothesized that the increased metabolism of moulting birds could more than
70 compensate for the decrease in insulation, and thus that the increased heat generation may
71 pose a challenge for moulting birds (4, 9, 10).

72 Penguins have specialized vascular arrangements that provide countercurrent heat
73 exchange in the legs (11), head (12), and wings (13). Penguins also boast efficient insulation
74 through a layer of subcutaneous fat (14), and specialized plumage comprised of an insulating
75 underlayer and a waterproof overlayer (8, 15). Despite penguins' adaptations for heat retention
76 underwater, they must breed and moult on land, where dissipating heat is more difficult than in
77 water (thermal conductance is 2.2-4.8 times higher in water than in air; (16)). Penguins undergo
78 a catastrophic moult once a year, replacing their entire plumage extremely quickly (between 13
79 and 34 days; (17)), which involves an increase of metabolic rate (and thus of heat production) of
80 *ca.* 30-50% (18, 19). Catastrophic moult involves the temporary overlap of new and old feather
81 layers (20), which increases thermal insulation (10). Penguins seem relatively sensitive to heat
82 stress while on land (21–23), and climate change is pronounced in polar and sub-polar regions
83 (24, 25), where many penguin species moult on land during the warmest season (26). Thus, if
84 heat dissipation is indeed a challenge during moult (4, 9, 10), the increasing temperatures
85 penguins are facing while moulting on land may challenge these iconic species.

86 Captive Gentoo penguins held within their thermoneutral zone had increased thermal
87 insulation and heat dissipation effort during the overlap of old and new feather layers (10).
88 Therefore, moulting seems to represent a challenge for heat dissipation in Gentoo penguins, but
89 the efficiency or inefficiency of their thermoregulatory response remains unknown because
90 core body temperature was not measured. We define the *thermal challenge* hypothesis as the
91 scenario where core body temperature rises because of failure to dissipate heat. If heat
92 dissipation effort increases but core temperature does not, this would indicate that heat
93 dissipation capacity is sufficient to offset the increased metabolism (4) and insulation (10) that
94 are known to occur during moult. If heat dissipation effort increases and body temperature
95 increases afterwards, this would indicate a failure to regulate body temperature, thus
96 supporting the *thermal challenge* hypothesis. Alternatively, it has been suggested that birds may
97 elevate core body temperature while moulting, hypothetically under physiological control, to
98 favour feather growth (3), an idea we are referring to as the *warm-up* hypothesis. If core body
99 temperature increases before heat dissipation effort, or if both increase simultaneously, this

100 would indicate a regulated increase in core body temperature, thus supporting the *warm-up*
101 hypothesis.

102 To test these hypotheses, we simultaneously recorded panting behaviour, heat
103 dissipation effort (*i.e.* surface temperature of thermal windows such as flippers, bill and feet),
104 insulation (*i.e.* surface temperature of the trunk) and core body temperature in captive king
105 penguins before, during and after the moult. To address ecological relevance of the results
106 obtained in captivity, we also include behavioural and physiological data of wild king penguins,
107 although limited in sample size. Under the *thermal challenge* hypothesis, we predict that wild
108 king penguins will be less likely to exhibit hyperthermia because environmental conditions are
109 colder in the field and because wild king penguins have additional methods to cool themselves
110 (*e.g.*, immersing feet in cold water). Under the *warm-up* hypothesis, we predict that both
111 captive and wild king penguins will exhibit some degree of hyperthermia during moult despite
112 environmental and behavioural variation.

113

114 **Materials and Methods**

115 ***Captive king penguins***

116 We studied 8 captive adult king penguins (*Aptenodytes patagonicus*) in the spring of
117 2024 at Océanopolis aquarium, Brest, France. The birds were individually identified by their
118 coloured plastic band, and six individuals moulted during the study period. Individuals were
119 maintained indoors at $8.12 \pm 0.07^\circ\text{C}$ (mean \pm SE), well within their estimated thermoneutral
120 zone (*i.e.* -5 to $+22^\circ\text{C}$; (27)). They had permanent access to free water to swim/dive, but no
121 possibility to only immerse their feet. Researchers were the first to enter the enclosure after a
122 full night with no disturbance to collect thermal images shortly before 8:00 AM, and keepers
123 entered afterwards throughout the day for regular enclosure cleanings, feeding non-moulting
124 birds (*i.e.* moulting birds are naturally fasting and stay on land even in captivity). The lighting
125 program used in the enclosure includes a monthly variation in artificial light, with exposure
126 varying between 11 and 13 h of light per day during the study. Following the 7-stage moult
127 categorization (10), we assigned moult stage each day for each individual from uniform old

128 plumage (M1) to uniform new plumage (M7) before surface temperature data extraction. To
129 investigate the continuous changes that occur within the visibly distinct moult stages, we
130 tracked the moulting process numerically by assigning a moult day for each bird, which began at
131 day 0 when we visually detected changes in the plumage structure (*i.e.* the start of M2, (10)).
132 Moult actually starts before any visual changes occur (*i.e.* feather growth below the skin), which
133 occurs at sea in wild penguins and has been estimated to last *ca.* 10 days (20).

134 Individuals were fed with an ingestible core temperature (T_{core}) data logger (Bodycap,
135 Anipill, France, accuracy $\pm 0.2^{\circ}\text{C}$ between 25 and 45°C , resolution $\pm 0.01^{\circ}\text{C}$, sampling rate =
136 5min) hidden in their normal diet (*i.e.* without handling) when they began exhibiting
137 hyperphagia, which precedes the fasting period during catastrophic moult (28). Individuals were
138 equipped at this stage because retention time in the stomach is quite variable (*ca.* 7 to 80 days
139 in wild king penguins, *pers. obs.*). We expect that stomach temperature provides a close
140 approximation of core body temperature during the moult because temperature measurements
141 in fasting penguins are not influenced by food intake. To avoid collecting measurements of core
142 temperature that may be influenced by food intake in non-moulting individuals, we collected
143 temperature data of all birds in the mornings shortly before 8:00 AM after a full fasting night
144 (*i.e.* 30 minutes after lights turned on, and before any keepers had entered the enclosure to
145 feed the non-moulting birds). Five moulting individuals were successfully equipped before the
146 onset of visible plumage change, and one at the time of early plumage change (*i.e.* early M2
147 phase, (10)). Only one (non-moulting) individual lost the logger before the completion of the
148 study, 32 days after ingestion. Moulting individuals were equipped on average 16.5 ± 4.8 days
149 before visible changes in plumage occurred. Data were downloaded remotely with an
150 Anilogger[®] monitor placed inside the enclosure throughout the study.

151 Upon entering the enclosure to collect data, the presence/absence of panting behaviour
152 was recorded for each individual (22), and we used a thermal imaging camera (three different
153 cameras were used due to technical issues: E96, E8, or T640; resolutions provided in Electronic
154 Supplementary Materials, *ESM*) to collect images of each individual from a distance of 1 m (10).
155 We collected images of birds in the profile orientation (29). Air temperature (T_a) and relative
156 humidity (RH) inside the enclosure were measured using a weather station (Kestrel 5400;

157 Kestrel® instrument, United Kingdom). Thermal imaging collection started on average 23.3 ± 5.4
158 days before visible changes in plumage occurred. Pictures of individuals being wet at the time of
159 thermal imaging were excluded from the analysis (*i.e.* $n = 36$ images from non-moulting
160 individuals, or moulting ones with moult day > 20). We report the average core body
161 temperature from 7:30 to 8:00 AM. This approach resulted in a sample size of 291 datapoints
162 with body surface and core temperatures measured simultaneously.

163 After collecting thermal images, we extracted surface temperatures using FLIR Thermal
164 Studio Pro. We set emissivity to 0.98 (10) and we specified T_a and RH for each image using
165 measurements collected during each thermal imaging session. We then measured the average
166 surface temperatures of the bill, flipper, and foot (T_{bill} , T_{flipper} , and T_{foot} , respectively) by drawing
167 separate region of interest (ROI) polygons for each area. We measured the maximum surface
168 temperature of the periorbital region (henceforth T_{eye} ; (30)) using an ellipse. We measured
169 ground temperature (T_{ground}) below the bird using a line segment the length of the foot. To
170 determine a representative trunk surface temperature (T_{trunk}) despite irregular feather loss, we
171 measured the temperature of both the old and newly grown plumage (T_{old} and T_{new}) using a
172 square with side length equal to \sim one third the length of the flipper. We used visual landmarks
173 to measure T_{ground} , T_{old} , and T_{new} because image resolution varied between the three cameras.
174 After standardizing between the cameras (see *ESM*), we used values of T_{old} and T_{new} to calculate
175 T_{trunk} according to (10). The moulting pattern of king penguins is sufficiently patchy for squares
176 to be consistently drawn in a section of completely old or new plumage with no overlap.

177 Data analysis was conducted in R Version 4.3.3 (31). We first calculated wet-bulb
178 temperature (T_w) in R package HeatStress (32) using the measurements of T_a and RH collected
179 while sampling. Following guidance from two external (*i.e.*, non-author) experts in the field of
180 thermography, we standardized measurements made with the three cameras using two
181 approaches (*i.e.* see *ESM* S0 for details). We then modelled T_{core} , T_{eye} , T_{bill} , T_{flipper} , T_{foot} , and T_{trunk}
182 as a function of moult day. To capture the non-linear changes of body temperatures over the
183 course of the moult (in moulting birds only), we created segmented models based on calculated
184 changes in slope (*i.e.*, breakpoints; (33)). We determined the presence/timing of breakpoints
185 and the associated 95% intervals using the R package segmented (34). After identifying

186 breakpoints, we fitted separate linear mixed models (`lmer()` in R package `lme4` (35)) for each
187 time period as determined by the breakpoints for moulting birds. Although thermal conditions
188 were held relatively constant (Fig. S1), we included T_w as a fixed effect in all global models to
189 account for any subtle variation in thermal conditions; we used T_{ground} instead of T_w for the
190 models of foot temperature according to (10). Non-moulting birds were analysed in separate
191 mixed models and were assigned an arbitrary moulting day for graphical purpose only (Fig. 1).
192 We included the bird's assigned identification number (bird ID) as a random intercept in all
193 models to account for repeated measures. Model support was determined using AICc (36) using
194 the function `dredge()` in R package `MuMIn` (37). We report the top-ranked model with
195 parameter estimates for moult day and T_w or T_{ground} (Table S1). We assessed the fit of the top
196 models by calculating the conditional Nakagawa's R^2 using function `r.squaredGLMM()` in R
197 package `MuMIn`. We visually represented findings from our models by plotting model
198 predictions obtained from package `ggeffects` (38) along with raw data for each response variable
199 using package `ggplot2` (39). Finally, predicted values and the associated 95% confidence
200 intervals were extracted from key stages based on breakpoint (*i.e.* pre-moult, peak-moult, post-
201 moult) as well as non-moulting individuals using semi-parametric bootstrapping ($n = 1000$
202 simulations) with `confint()` and `bootMer()` functions of R package `lme4` (35)).

203

204 ***Wild king penguins***

205 Wild king penguins were studied in 2023-24 (as part of a long-term observatory) in the
206 'Baie du Marin' colony on Possession Island (40), Crozet Archipelago, in the Southern Indian
207 Ocean (46°26' S, 51°52' E) from courtship in November 2023 to the 17th of October 2024, when
208 all scientific activities were shut down because of an outbreak of highly pathogenic avian
209 influenza (HPAI) at the study site (41). At breeding initiation (Nov-Dec 2023), 48 breeding pairs
210 were temporarily marked with hair dye on the breast feathers (*i.e.* lasting until the post-
211 breeding moult) and permanently marked with thermosensitive pit-tags (BioTherm13 tag,
212 resolution $\pm 0.1^\circ\text{C}$, accuracy $\pm 0.5^\circ\text{C}$, between 33°C and 43°C , Biomark, USA) in the inter-scapular
213 region. These thermosensitive pit-tags enable long-term individual identification and

214 subcutaneous temperature measurements (22). Subcutaneous temperature measurements
215 were conducted during incubation (3rd day of an incubation shift on land) as part of other long-
216 term monitoring procedures, in less than 3 minutes after capture to avoid handling-stress
217 induced changes in body temperature (40). A subsample of birds was equipped with core
218 temperature ingestible loggers (Bodycap, Anipill, France, sampling rate = 5 or 15 min) during
219 incubation. At the time of post-breeding moult (Sep-Oct 2024), the colony was searched daily to
220 identify marked penguins being back on land to moult (N = 43 penguins observed 1 to 10 times
221 during moult), scoring their moult according to ((10); M1 to M7 stages), noting the
222 presence/absence of panting behaviour (22) and the usage of the river as a potentially cooling
223 substrate (*i.e.* feet in the river: yes/no). Approximately on their 3rd day on land, 3 moulting
224 individuals (*i.e.* stage M1 for two individuals and stage M2 for another one) were recaptured,
225 had their subcutaneous temperature recorded in less than 3 minutes after capture, and were
226 equipped with a core temperature ingestible logger. At moult completion (stage M7), individuals
227 were re-captured to download core body temperature data, which was unfortunately only
228 possible for one individual before field site closure linked to HPAI outbreak. Considering the
229 limited sample size, no statistical tests were performed and individual data points are
230 presented. To quantify the environmental heat load faced by wild vs. captive individuals (*i.e.*
231 including solar radiation and wind speed in addition to air temperature and humidity used for
232 T_w in captivity), Wet Bulb Globe Temperature (WBGT) values were computed using the R
233 package HeatStress function `wbgt.Liljegren()`. Environmental heat load in the wild was lower
234 during moult than breeding, and captive penguins experienced an environmental heat load
235 being intermediate between moulting and breeding individuals in the wild (Fig. S1).

236

237 Results

238 *Captive penguins*

239 Moulting and non-moulting birds were never observed panting during the study. The
240 visible part of moult lasted on average 24.3 ± 0.9 days in captive individuals.

241 Changes in body temperatures during moult followed a non-linear pattern (Fig. 1). While
242 T_{core} was best fitted with 2 breakpoints (*i.e.* an increase followed by a decrease during moult and
243 then stabilisation post-moult, Fig 1a, Table S1), all surface temperatures were best fitted with at
244 least 3 breakpoints that corresponded to 3 main phases: pre-moult, moult (with 2 segments
245 surrounding peak-moult: early-moult and late-moult) and post-moult (Fig. 1b to 1f). While
246 surface temperatures (T_{eye} , T_{bill} , T_{flipper} , T_{foot} and T_{trunk}) during pre- and post-moult periods were
247 not always constant, the magnitude of changes during these periods were limited (Fig. 1 and
248 Table S1). During moult, T_{eye} , T_{bill} , T_{flipper} and T_{foot} initially increased during early-moult (although
249 non-significantly for T_{foot}) and then decreased during late-moult, so post-moult levels were
250 comparable to pre-moult and non-moulting levels (Fig. 1b to 1e; Fig 2b to 2e; Table S1).
251 Conversely, T_{trunk} (*i.e.* an inverse proxy of insulation provided by the plumage) initially decreased
252 and then increased back to levels comparable to pre-moult and non-moulting levels (Fig. 1f and
253 2f, Table S1).

254 Despite birds being equipped with a T_{core} logger on average 16.5 ± 4.8 days before visible
255 changes in plumage occurred (*i.e.* moult day 0), we did not detect a pre-moulting stable period
256 for T_{core} (Fig. 1a). Thus, we do not report a predicted T_{core} for the pre-moult stage (Fig. 2a), and
257 we instead draw inferences by comparing T_{core} values between peak-moult and post-moult. T_{core}
258 was *ca.* 1.3°C higher during peak moult than post-moult or than in non-moulting individuals.
259 Body temperatures were overall mostly indistinguishable between pre-moult, post-moult and
260 non-moulting individuals (Fig. 2). The changes in surface temperatures during moult were
261 drastic, with an increase at peak moult of *ca.* $+4.0^{\circ}\text{C}$ for T_{eye} (Fig. 2b), $+11.5^{\circ}\text{C}$ for T_{bill} (Fig. 2c),
262 $+10.2^{\circ}\text{C}$ for T_{flipper} (Fig. 2d), $+5.0^{\circ}\text{C}$ for T_{foot} although 95% CI overlapped with most other stages
263 (Fig. 2e), and a decrease of *ca.* -5.0°C for T_{trunk} (Fig. 2f).

264 For body surface temperatures, the initial rise (*i.e.* moult start) in T_{eye} , T_{bill} , T_{flipper} and
265 T_{foot} occurred simultaneously around 10 days before moult day 0 (Fig. 3). The initial drop in T_{trunk}
266 occurred slightly later (*ca.* moult day -6), but not significantly so considering 95% CI overlapped
267 (Fig. 3). The peak in T_{flipper} was reached approximately 10 days earlier than the peaks in T_{core} ,
268 T_{eye} , T_{bill} , and T_{foot} , while the peak in plumage insulation (*i.e.* lowest T_{trunk}) occurred
269 approximately 5 days later (Fig. 3, non-overlapping 95% CI). The peak in T_{core} occurred
270 approximately 3 days before the peaks in T_{eye} , T_{bill} and T_{foot} , but not significantly so considering
271 95% CI overlapped (Fig. 3). T_{foot} was the first to return to baseline levels at *ca.* moult day 14,
272 followed by T_{trunk} , T_{bill} , T_{eye} (*ca.* moult day 27), then T_{core} (*ca.* moult day 34) and then only by T_{flipper}
273 (*ca.* moult day 44). While 95% CI overlapped between T_{core} and T_{bill} , T_{eye} , T_{flipper} , this was not the
274 case between T_{core} and T_{trunk} (Fig. 3).

275

276 ***A glimpse at the natural scenario***

277 Out of 87 observations from 43 wild individuals (mostly during early moulting stages),
278 none were observed panting, whereas breeding individuals have been reported to pant during
279 *ca.* 20% of behavioural observations in the same population (22). Moulting birds immersed their
280 feet in the river during 75.8% of our observations. The visible part of moult lasted 13 days in the
281 only individual that completed its moult before the HPAI-related closure of the field site.

282 T_{subcut} was approximately 1.4°C higher in early-moulting birds compared to the same
283 individuals measured while breeding (Fig. 4a). Average daily T_{core} was overall 1.2°C higher during
284 moulting compared to breeding in one wild individual (Fig. 4b), despite environmental heat load
285 (*i.e.* WBGT) being lower during moult (Fig. 4c) and this individual being consistently observed
286 with its feet immersed in the river. This wild individual had T_{core} within the range of captive non-
287 moulting individuals during breeding (except the first day), and within the range of captive
288 peak-moult between approximately 4 and 11 days of its moulting fast on land (Fig. 4b). T_{core} of
289 this moulting individual did not return to breeding or captive non-moulting values, even once
290 moult was visibly completed (Fig. 4b).

291

292 Discussion

293 Warming up to a new coat

294 Our results provide evidence that moulting elicits thermovascular responses that
295 increase heat loss in king penguins, as previously described in gentoo penguins (10). We now
296 also provide evidence that core body temperature in king penguins markedly increases during
297 moult (*i.e.* up to +1.3°C and +1.2°C in captive and wild birds respectively), suggesting either a
298 failure to dissipate excess heat generated by the up-regulation of metabolism (*thermal*
299 *challenge hypothesis*), or a regulated increase of body temperature during moult (*warm-up*
300 *hypothesis*). By assessing the timing of changes in surface and core body temperature, we found
301 that surface temperatures increased rapidly, and likely after the rise in core temperature
302 occurred, thereby providing support for the *warm-up* hypothesis. Coupled with the lack of
303 panting observed in the study period, these findings suggest that increasing peripheral heat
304 dissipation was likely enough to maintain core temperature below a maximum threshold. The
305 limited evidence we gathered from wild king penguins (*i.e.* +1.4°C in subcutaneous and +1.2°C
306 in core body temperature) suggests that the hyperthermic pattern we observed in captivity also
307 occurs under natural conditions, where behavioural heat dissipation was less constrained
308 because individuals had access to cold water, and used it for partial immersion.

309 King penguins must accomplish moulting efficiently to avoid reproductive failure
310 because food availability decreases rapidly after the moulting period (42). It is known that king
311 penguins that arrive from the sea later in the season initiate feather loss more quickly and
312 undergo a contracted moult period relative to individuals that arrive earlier (43). Hyperthermia
313 may benefit moulting penguins by accelerating the process of feather growth, thus enabling
314 them to better match high food availability. Assuming that king penguins in our study had a Q10
315 equal to 2.3 (Roussel et al., *pers. Com.*; 44) the observed increase in body temperature of
316 ~1.4°C would yield a 12.4% increase in metabolic rate, which may shorten moult by *ca.* 2.5 days,
317 equal to a 9.3% reduction in the total duration of moult.

318 Positive correlations between feather growth and body temperature have been
319 observed in chickens, where individuals with an early feathering genotype display higher body

320 temperatures and faster feather growth during the first six weeks post-hatching (45). A similar
321 pattern has been observed indirectly in turkeys, where individuals held at higher ambient
322 temperature had longer feathers at 14 days post-hatching (46), although this may partially be
323 explained by metabolic costs being diverted to thermoregulation instead of feather growth at
324 the lower temperature treatment used in that study. Further supporting the association
325 between high body temperature and accelerated feather growth, the majority of feather growth
326 occurs during the day when body temperature and metabolic rate are highest (47). In penguins,
327 moulting-induced hyperthermia occurs alongside increases in blood perfusion at the surface
328 ((10); this study), which likely helps to deliver nutrients to peripheral tissues that is necessary
329 for feather growth (48).

330

331 **Thermoregulation in captivity and in the wild during catastrophic moult**

332 Core and surface temperatures in captive non-moulting birds remained stable during the
333 study period relative to moulting birds, which exhibited distinct changes over time. Core
334 temperature was the first to increase under captive conditions as we were not able to detect a
335 pre-moulting stable period. During the gradual rise in core temperature, we observed increases
336 in surface temperature in thermal windows (flippers, bill, and feet), indicating increased effort
337 to dissipate heat. Interestingly, the flippers were the only thermal window to reach the
338 maximum surface temperature before core body temperature reached its maximum, suggesting
339 that the flippers may function as a first line of defense for peripheral heat dissipation in
340 penguins, likely due to their large surface area and vascularization (49). On the other hand, the
341 surface temperatures of the bill and feet closely tracked fluctuations in core temperature, as
342 indicated by the similarities in the timing and direction of changes in these temperatures. That
343 heat dissipation via thermal windows increased after core temperature had already been rising
344 indicates that hyperthermia was likely regulated and maintained below a maximum threshold.
345 This interpretation is further supported by the lack of panting observed throughout the study
346 period. We found that the surface temperature of the trunk declined in captive moulting birds,
347 indicating increased insulation due to the overlap of new and old layers of plumage (10, 50).

348 Despite the increased insulation during the moult, core temperature had already begun
349 declining by the time insulation reached its maximum (i.e., the minimum of T_{trunk}). This
350 observation suggests that the rise in core temperature was primarily driven by increased
351 metabolic heat generation, not by increased insulation as hypothesized in (10).

352 Conducting this research in captivity enabled us to eliminate variation that would be
353 introduced by more dynamic thermal environments in the wild, thus isolating the effects of
354 moulting on thermoregulation. On one hand, captivity prevented heating from solar radiation;
355 on the other hand, captivity prevented cooling from wind chill and precipitation, and it limited
356 behavioural thermoregulation in the form of habitat selection (i.e., partial immersion in water).
357 However, behavioural thermoregulation and environmental conditions, such as solar radiation,
358 wind, and humidity remain essential considerations for wild animal thermoregulation (22, 51–
359 53). Therefore, to provide insights about the relevance of our finding in captivity, we measured
360 thermoregulatory behaviour, subcutaneous temperature, and core temperature in a limited
361 number of wild king penguins. During 75.8% of our observations, free-living moulting king
362 penguins had their feet immersed in the river, confirming that wild birds likely take advantage of
363 additional means to dissipate heat. Despite this behaviour and greater potential for wind chill,
364 core temperature measured in one wild moulting king penguin showed a similar hyperthermic
365 pattern (+1.2°C compared to incubation) as the one found in captive moulting king penguins
366 (+1.3°C). Although this result was obtained in only one individual, it is supported by the similar
367 pattern observed in the subcutaneous temperature of three wild king penguins measured
368 during both incubation and early-moult (+1.4°C). It is interesting to note that the core body
369 temperature of captive individuals was similar to the wild scenario, both during ($T_{\text{core}} \approx 38.7^\circ\text{C}$)
370 and outside ($T_{\text{core}} \approx 37.3^\circ\text{C}$) the moulting period, thereby suggesting that captivity does not
371 markedly affect thermoregulation in king penguins.

372

373 **Seeking baselines: Operating points of body and surface temperatures**

374 The data we report conform well to a negative feedback-based model of
375 thermoregulation with multiple autonomous thermo-effectors (54). The elevation of metabolic

376 heat generation while moulting represents a positive (i.e., heat) thermal challenge that
377 ultimately triggers an increase in heat dissipation via the periphery. The rises in core, and
378 subsequently surface, temperatures represent the changes in multiple operating points that
379 would be necessary to balance heat loss with elevated heat generation. The thermal challenge
380 introduced by moulting was large enough to elicit changes in peripheral heat loss, but not
381 panting, suggesting that the thermoregulatory response observed lies within an inter-threshold
382 zone (55–58). We interpret the difference in timing and magnitude of change in flipper
383 temperature relative to other thermal windows to represent varying thresholds for response
384 across body regions, a pattern supported by the specialization of regional heterothermy in birds
385 (59), and indeed by the widespread presence of regional heterothermy in endotherms (60).

386 Previous studies have suggested that moulting poses a negative (i.e., cold)
387 thermoregulatory challenge due to reduced insulation during feather loss, which is
388 compensated by an increase in heat production (17–19, 61). If moulting poses a negative
389 thermal challenge, one would expect a reduction in body temperature followed by upregulation
390 of metabolic heat generation until the thermal challenge passes (i.e., hypothermia, not
391 hyperthermia (54)). However, moulting in penguins [(62, 63), present study] and other birds (5,
392 64) can elicit elevations in body temperature (i.e., hyperthermia), the opposite of the pattern
393 predicted if moulting was a negative thermal challenge. Moulting-induced hyperthermia may be
394 less pronounced in species where heat loss occurs too efficiently for body temperature to be
395 affected by upregulated metabolism (e.g., small passerines; (65)), or if the sampling period does
396 not align with the onset of hyperthermia. In the wild, penguins arrive onshore with new
397 feathers developed to between 18% to 42% of their final length (18, 66), and it is possible that
398 studies in wild individuals may not have been able to detect early changes in core temperature
399 occurring during the pre-moult or early-moult stages. Pre-moult elevation of core temperature
400 is not mutually exclusive with increased energy cost of thermoregulation in later stages of the
401 moult when insulation decreases quickly, as observed in (19).

402 Conducting this research in captivity enabled us to measure moulting and non-moulting
403 individuals simultaneously throughout the entire moulting process. Despite starting to measure
404 core temperature more than two weeks before the first visible change in plumage, our sampling

405 period was too late to capture a stable pre-moult phase of core temperature. In gentoo
406 penguins, lower surface temperatures were observed at the end of the study, possibly because
407 the sampling period may have begun after body temperatures had already begun increasing
408 (10). This and previous studies highlight the difficulty of establishing a baseline for
409 normothermia, and these differences in sampling period may explain some of the differences
410 between studies. We advise care when planning sampling periods for physiological studies of
411 moulting because physiological changes in preparation for moulting that underly visible change
412 in plumage may have an earlier start than what was previously appreciated in the literature.

413 We also advise care when measuring thermoregulatory traits, such as core temperature,
414 surface temperatures, and thermoregulatory behaviors, because these can be influenced by
415 multiple external and internal factors. For instance, acute stress can cause temperature changes
416 in regions throughout the body (29, 33, 40, 67) and body temperatures also exhibit circadian
417 patterns (68). Acute stress and circadian changes in body temperature could affect behaviours
418 that vary with heat load, such as panting, a common thermoregulatory behavior in birds,
419 including penguins (69, 70). In our system, we identified the daily visits of keepers as potential
420 sources of error because of variation in timing, tasks, and levels of disturbance (underwater
421 cleaning being less stressful than above-water cleaning). To avoid both stress-related and
422 circadian influences on body temperatures and thermoregulation, we collected data at the
423 same time each morning before keepers entered the enclosure. Yet, such potential issues are
424 way more difficult to deal with in wild settings, where timing of measurement is not necessarily
425 chosen by the experimenter, and acute stressors (*e.g.* aggressive interaction with neighbours,
426 presence of predator) cannot be controlled and may not be noticed by the experimenter.

427

428 **Warming up in captivity – heating up in the wild?**

429 We found that the increase in core temperature in moulting king penguins was likely
430 regulated, as evidenced by the lack of influence from plumage insulation, the absence of
431 panting behavior, and the delay in vascular perfusion. These patterns suggest that hyperthermia
432 was likely employed as a regulated thermoregulatory strategy (71). Air temperature remained

433 stable and far below body temperature during our study, and the warming effect of solar
434 radiation was absent in captivity. Since environmental conditions are unlikely to be as forgiving
435 in the wild, the current study raises concerns regarding the vulnerability of moulting penguins in
436 the context of global climate change. High mortality rates have already been reported in
437 multiple penguin species immediately after moulting due to the energetic challenge of this
438 fasting period (18, 72–74). More recently, in 2019, a mortality event was recorded during early
439 moult in little penguins following a heatwave on land (*Eudyptula minor*; (75)). Based on our
440 finding that moulting poses a positive (i.e., heat) thermal challenge, we caution that moulting
441 may increase the susceptibility of wild penguins to heat stress, especially as regions near the
442 poles warm at a disproportionately rapid rate (24). Further investigation of thermoregulatory
443 responses of penguins during moulting in the wild is thus deeply needed.

444

445 ***Data availability and Electronic Supplementary Materials***

446 The datasets, R code, and Electronic Supplementary Materials (Supplemental Figs. S0
447 and S1; Supplemental Tables S0-A, S0-B, and Table S1) used in this manuscript are available on
448 FigShare: <https://doi.org/10.6084/m9.figshare.28629305.v3>

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472 ***Author's contributions***

473 Study design: ALew, AS, JZ. Funding acquisition: ALew, AS, ALey, JZ. Data collection in captivity:
474 JZ, EP, ALew. Data collection in the field: EM, AS. Data analysis: JZ, EP, AS. Writing original draft: JZ, ALew
475 and AS. Writing review and editing: ALey, EP, EM.

476

477 ***Institutional Review Board Statement (IRB)***

478 The study in captive penguins did not include invasive procedures. Scientific procedures for wild
479 king penguins were approved by the French Ethical Committee (APAFIS#31268-2021042117037897 v3)
480 and the Terres Australes et Antarctiques Françaises (Arrêtés TAAF A-2023-88).

481

482 ***New and Noteworthy***

483 Penguins may experience heat stress while moulting, which causes increased metabolic heat
484 generation and insulation. We assessed thermoregulation in moulting captive king penguins
485 (*Aptenodytes patagonicus*) using simultaneous measurements of core and surface temperatures. By
486 measuring temperature throughout the entirety of the moult, we found that hyperthermia and
487 increased peripheral heat dissipation are prevalent in moulting king penguins. We caution that moulting-
488 induced hyperthermia may contribute to the susceptibility of penguins to heat stress in the wild.

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698 **Figure legend:**

699

700 **Figure 1. Variation in core (a) and surface temperatures (b: eye, c: bill, d: flipper, e: foot and f: trunk) of**
701 **captive king penguins in relation to moult dynamics.** The dashed line at day 0 indicates the first visible
702 signs of moult, and the one at *ca.* day 24 indicates the completion of plumage renewal. Coloured circles
703 and solid lines represent moulting birds, whereas white circles and dashed lines represent non-moulting
704 birds. Lines are predictions from the top ranked models (Table S1). n = 6 moulting and n = 2 non-
705 moulting individuals.

706

707 **Figure 2. Predicted core (a) and surface body temperatures (b: eye, c: bill, d: flipper, e: foot and f:**
708 **trunk) of captive king penguins at key stages during moult (*i.e.* pre-moult, peak-moult and post-moult**
709 **based on breakpoint analyses) and in non-moulting individuals.** Error bars represent 95% CI; n = 2-6
710 moulting and n = 2 non-moulting individuals.

711

712 **Figure 3. Temporal dynamics of changes in body temperatures during moult in captive king penguins**
713 **based on breakpoint analyses.** The dashed line at day 0 indicates the first visible signs of moult, and the
714 one at *ca.* day 24 indicates the average time to visual completion of plumage renewal. Error bars
715 represent 95% CI, N = 6 captive individuals.

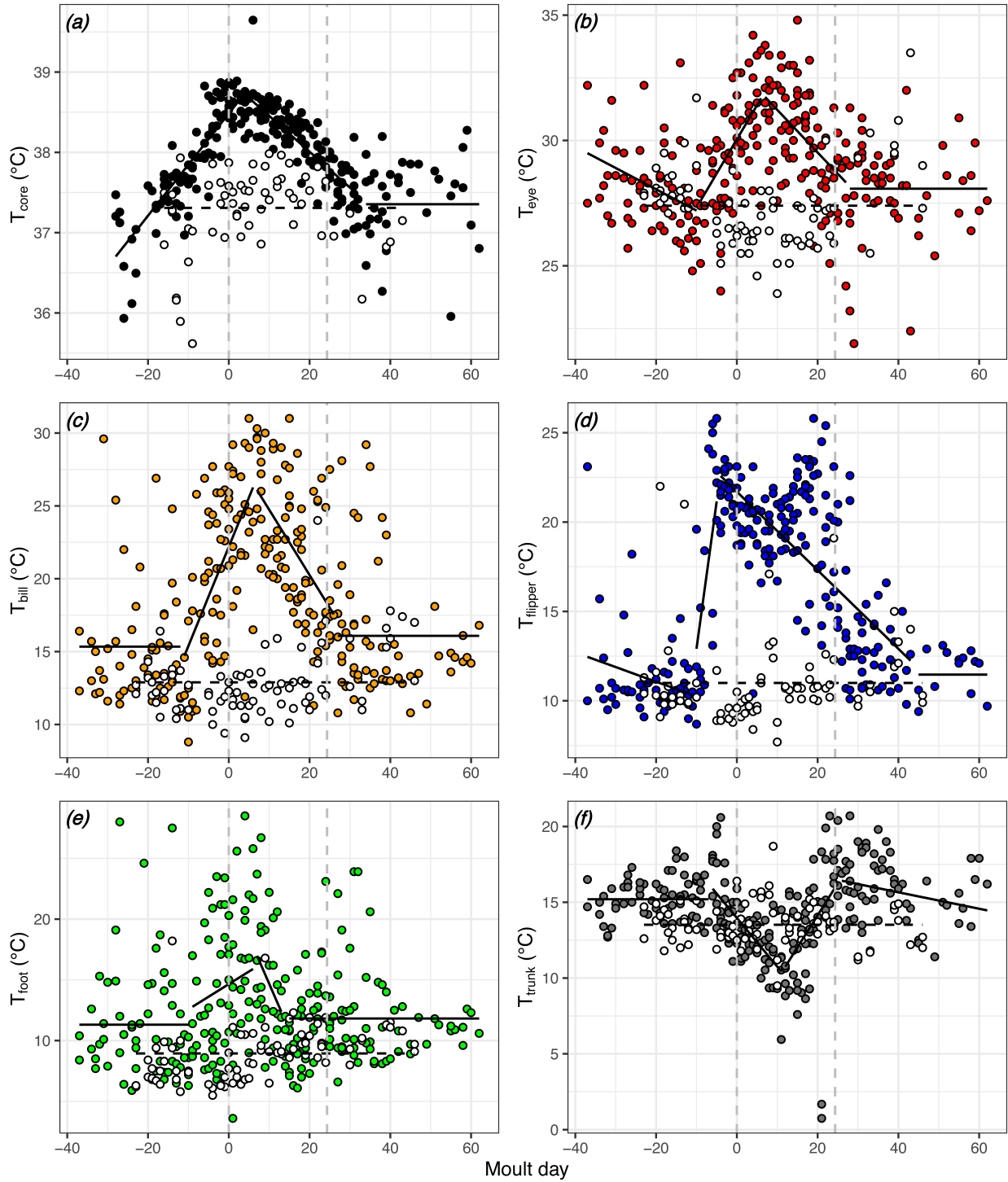
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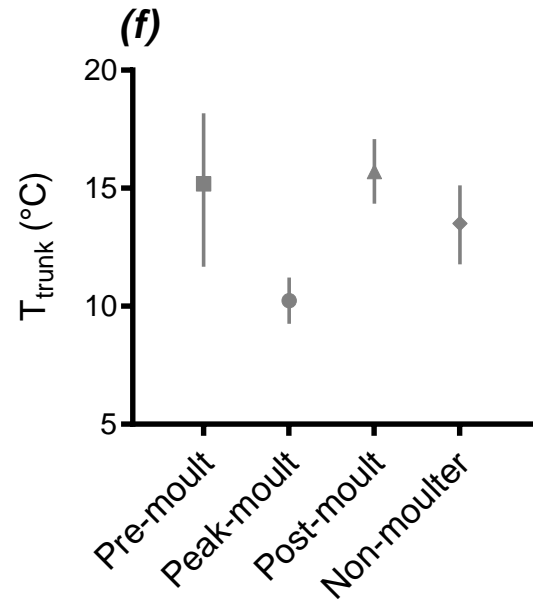
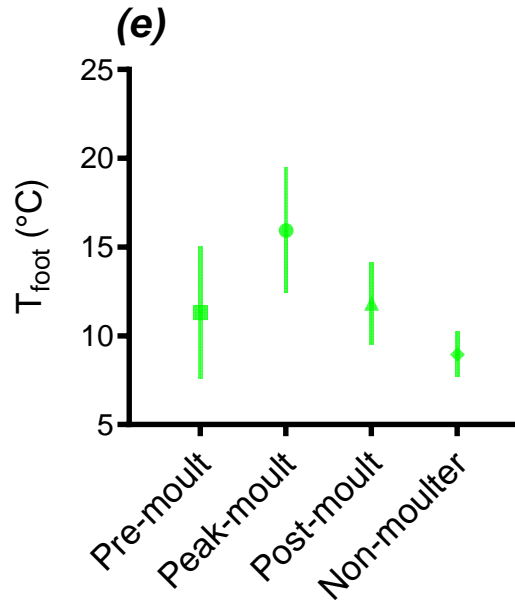
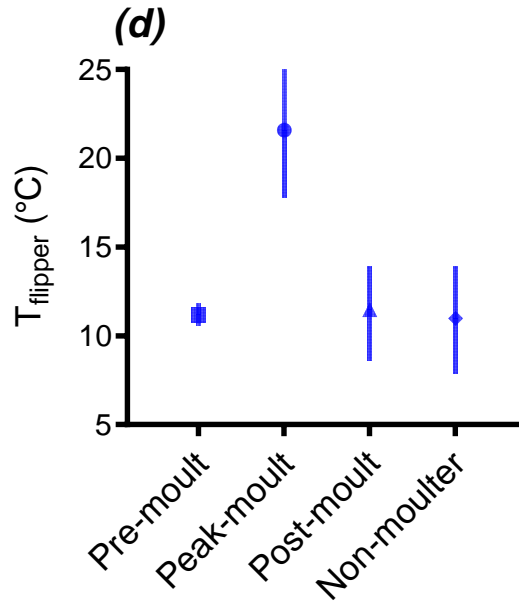
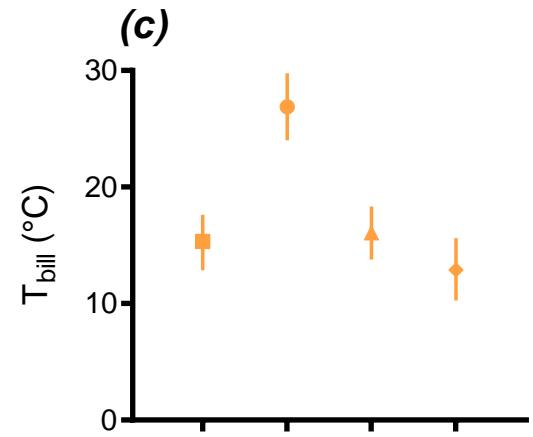
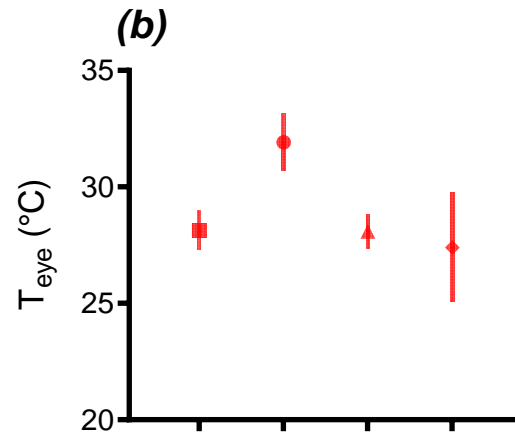
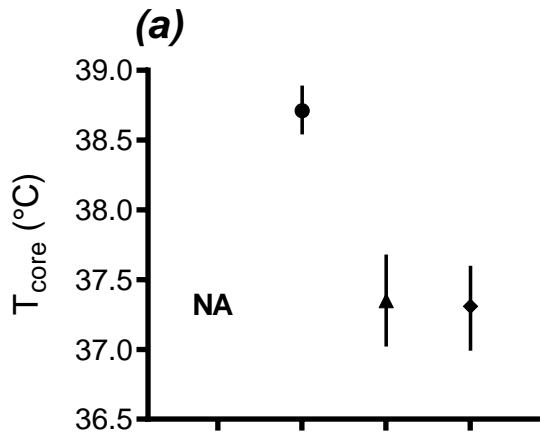
717 **Figure 4. Subcutaneous (a) and core (b) body temperatures of moulting vs. breeding wild king**
718 **penguin(s), along with (c) corresponding environmental heat load measured as Wet Bulb Globe**
719 **Temperature.** The same individual(s) were measured during incubation and moulting within the same
720 breeding cycle (2023-24). (a) N = 3 individuals measured during incubation and early moult (*ca.* 3rd day of
721 fasting on land), with individual values presented in colour with grey lines, and mean \pm SE in colour with
722 black dashed line. (b) N = 1 individual measured during incubation (blue) and moulting (red) within the
723 same breeding cycle (2023-24), circles and error bars represent daily average core body temperature \pm
724 SE, and the black arrow represents the visible part of moult (*i.e.* from new feathers emergence to fully
725 new plumage). For comparison to results from captive individuals, the shaded areas represent the 95%
726 CI of core body temperature for captive non-moulting (blue, n = 2) and during peak moult (red, n = 6). (c)
727 Circles and error bars represent daily average Wet Bulb Globe Temperature \pm SE during incubation (blue)

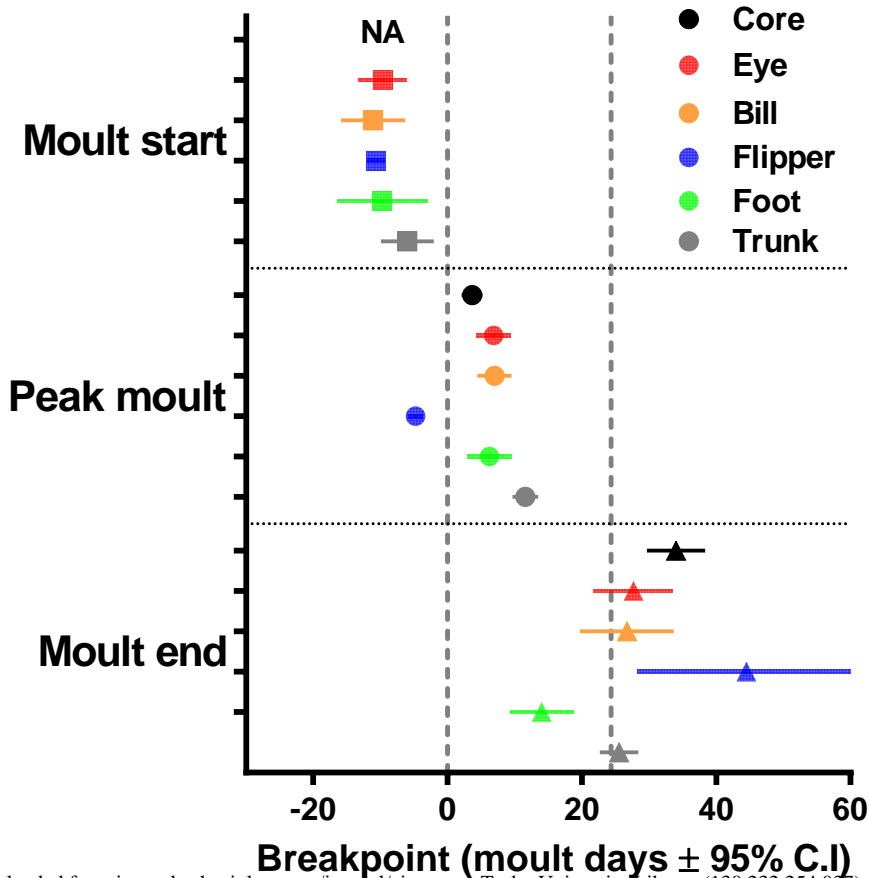
728 and moulting (red), and the shaded purple area represent the 95% CI of Wet Bulb Globe Temperature for
729 captive individuals for comparison.

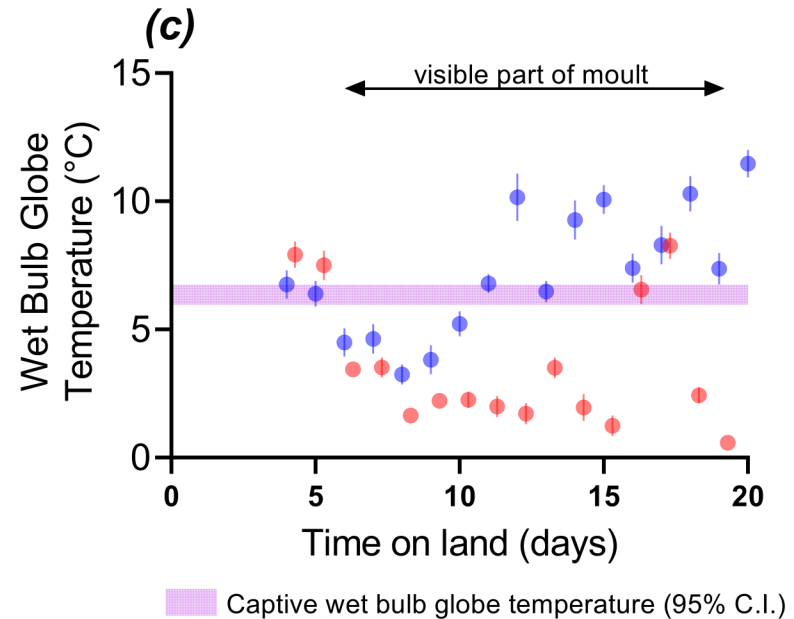
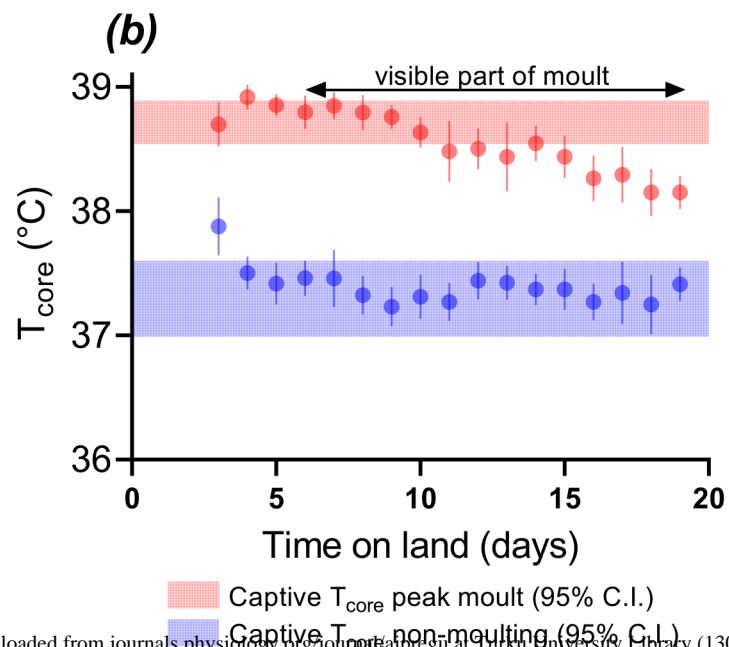
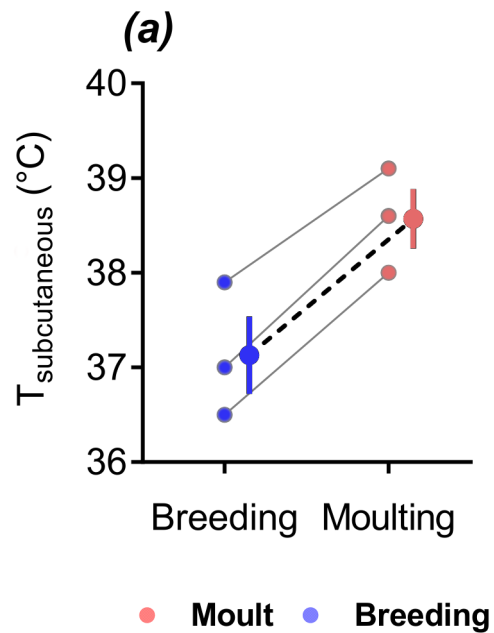
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● Moulting ○ Non-Moulting

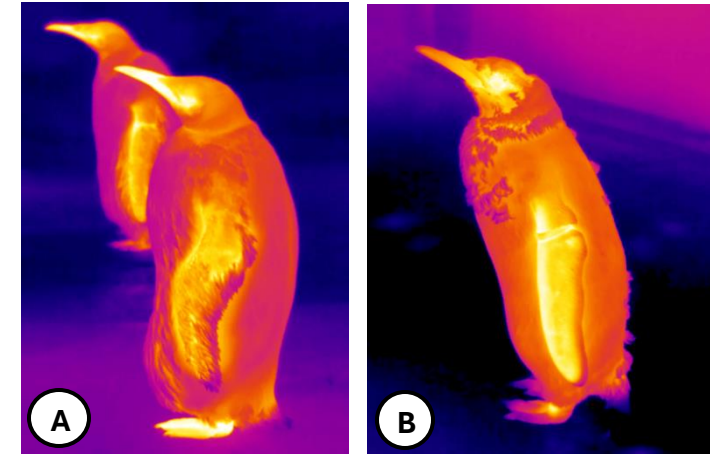
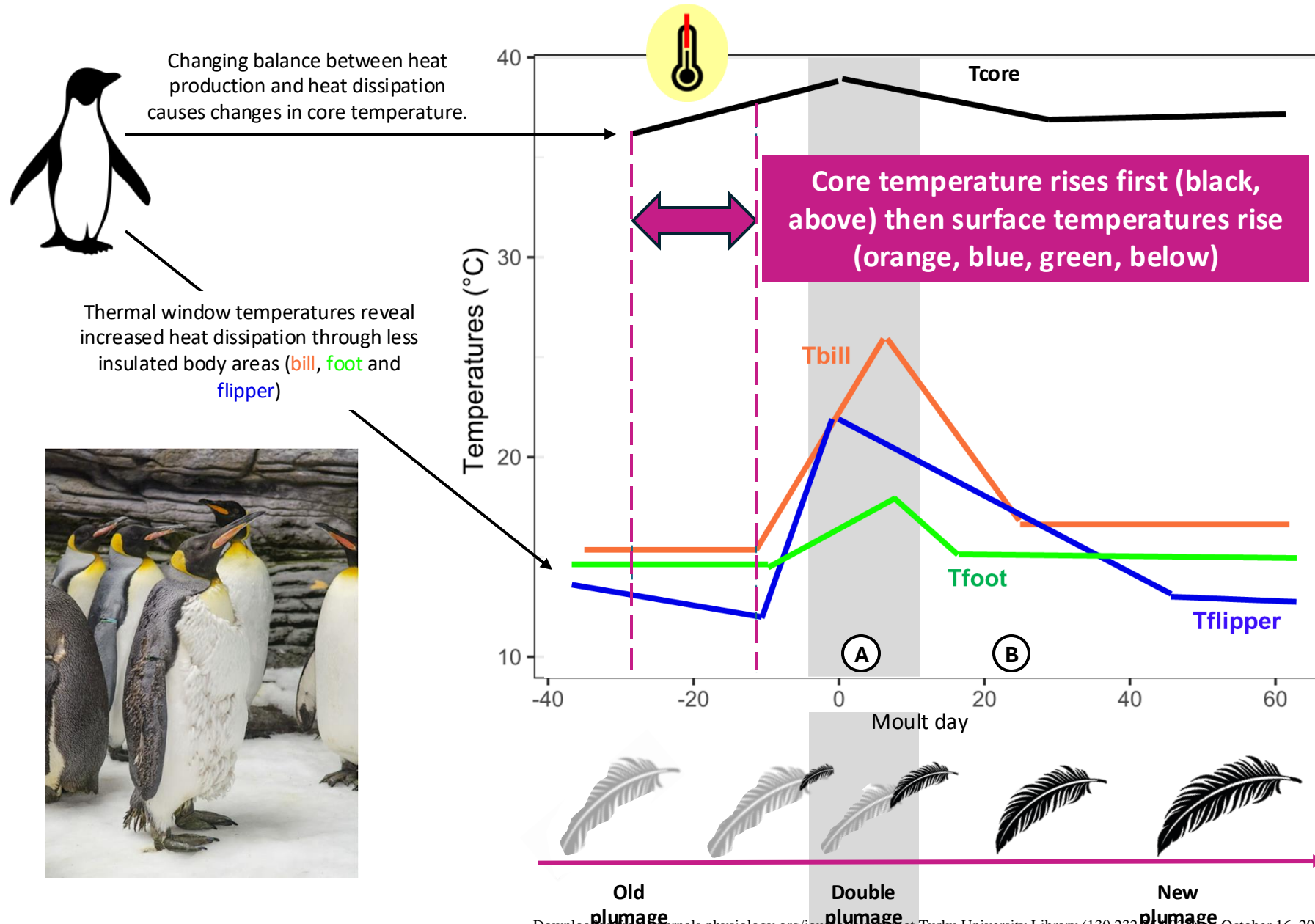








Controlled hyperthermia during catastrophic moult in king penguins



(A) Early moulting penguin with warmer bill, foot and flipper compared to (B) late moulting penguin with colder bill and foot

Hyperthermia during moult remains under physiological control during moult